



CAR PART VI

TRANSPORT OF DANGEROUS GOODS BY AIR _____

UNCONTROLLED COPY WHEN DOWNLOADED Check with GCAA Website to verify current version before using

هيئة اتحادية | Federal Authority





Intentionally Left Blank





Table of Contents

Disclaim	er	6
Record	of Amendments	7
Forward		8
Applicat	pility	10
SECTIO	N 1 - Definitions & Acronyms	11
1.1	Definitions	12
1.2	Acronyms	14
SECTIO	N 2 - General Power of Entry	16
SECTIO	N 3 - Restrictions & Limitations	18
3.1	General	19
3.2	Disposal of Dangerous Goods, Protection and Development of the Environment	19
3.3	Use of Opaque Shrink Wrapping	20
3.4	Dangerous Goods Post Holder (DGPH)	20
3.5	Transport of Lithium Batteries	21
3.6	Unmanned Aerial Vehicle (UAV)	22
3.7	Retention of Records	22
3.8	Approvals and Exemptions	22
SECTIO	N 4 – Training	23
4.1	Competency Based Training & Assessment (CBTA) Training Requirements	24
4.2	Dangerous Goods Training Acknowledgment by GCAA	51
4.3	Approval of Training Programs & Accreditation	51
4.4	Training Provider's Responsibilities, Development and Delivery of Training	52
4.5	Recurrent Training and Assessment	53
4.6	Computer Based Training (CBT)/E-learning	53
4.7	Training & Assessment Records	55
4.8	The Conduct of Assessment	55
4.9	Instructor Qualifications and Competencies	55
4.10	Competency Validation (COVAL) Certification Course	56
SECTIO	N 5 - Responsibilities	58
5.1	Training Provider	59
5.2	Shipper	59
5.3	Freight Forwarder and Cargo Agent	60
5.4	UAE Registered Air Operator 'Carrying Dangerous Goods'	61
5.5	UAE Registered Air Operator 'No Carry'	62
5.6	Foreign Air Operator	63
5.7	Ground Handling Agent (Including Self-Handling Air Operator)	63
5.8	Passengers and Cargo GSA & Passenger and Cargo Reservation Centers	65





5.9	Packaging Supplier	65
5.10	Maintenance and Engineering	66
5.11	Designated Postal Operators (DPO)	66
5.12	Security Personnel	66
5.13	Helicopter Operator	67
SECTIO	N 6 – INTENTIALLY LEFT BLANK	68
SECTIO	N 7 – CERTIFICATION PROGRAM	69
7.1	General	70
7.2	Certification Requirements	70
7.2.1	Freight Forwarder and Cargo Agent	70
7.2.2	UAE Registered Air Operator – Fixed Wing Aircrafts	71
7.2.3	Helicopter Operator	72
7.2.4	Ground Handling Agent	72
7.2.5	Training Provider(s)	73
7.2.6	Packaging Supplier	75
7.3	Validity and Extension of Certification	75
SECTIO	N 8 - OVERSIGHT RESPONSIBILITIES	76
8.1	Scope	77
8.2	The Conduct of Dangerous Goods Oversight Activities	77
8.2.1	Scheduling Oversight Activities	77
8.2.2	Identification and Resolution of Dangerous Goods Safety Deficiencies	78
8.2.3	Level Findings & Observations	78
8.2.4	Self-Assessment	79
SECTIO	N 9 - REPORTING OF DANGEROUS GOODS OCCURRENCES	80
9.1	Mandatory Occurrence Reporting	81
9.2	Voluntary Reporting	81
9.3	The Online Reporting of Dangerous Goods Occurrences & Investigation (RODGO) System	81
SECTIO	N 10 - DANGEROUS GOODS OCCURRENCES INVESTIGATION	83
10.1	General	84
10.2	Contributing Factors	84
10.3	Gathering and Analysis of Information	84
10.4	Identification of Hazards	84
10.5	Dangerous Goods Held for Investigation	85
10.6	No Objection Letter	85
10.7	Corrective and Preventive Action (CAPA)	86
10.8	Closing the Investigation	86
SECTIO	N 11 - REGULATORY ACTIONS	87
11.1	Suspension and Revocation of Dangerous Goods Certification	88
11.2	Blacklisting	89





11.3	GCAA Dangerous Goods & Cargo Watch-List Program	89
11.3.1.	The Criteria for Inclusion into the Watch-List	90
11.3.2	The Watch-List Process	91
11.3.3	The Watch-List Regulatory Action Chart	93
APPENDI	CES	96
APPEN	IDIX – 1	97
Danger	ous Goods Occurrence Report	97
APPEN	IDIX–2	99
UAE St	ate Variations	99
APPEN	IDIX – 3	101
(GCAA	Tables - CBTA Approach vs Previously Known Categories)	101





Disclaimer

The information contained in the Civil Aviation Regulations "CAR Part VI –Transport of Dangerous Goods by Air" is subject to constant assessment in the light of changing government requirements and regulations. Any reader should not act on the basis of any such information without referring to applicable laws and regulations and or without taking appropriate professional advice. Although every effort has been made to ensure accuracy, the General Civil Aviation Authority shall not be held responsible for any loss or damage caused by errors, omissions, misprints or misinterpretation of the contents hereof. Additionally, the General Civil Aviation Authority expressly disclaims any and all liability to any entity, whether a user of this publication or not, in respect of anything done or omitted, and the consequences of anything done or omitted, by any such entity in reliance on the contents of these Regulations.

No part of these Regulations may be reproduced, recast, reformatted or transmitted in any form by any means, electronic or mechanical, including photocopying, recording or any information storage and retrieval system, without the prior written permission from the UAE General Civil Aviation Authority.

For further information please email at dangerousgoodsteam@gcaa.gov.ae





Record of Amendments

Amendment Number	Publication Date	Effective Date	Entered by
1.1	1 April 2010	1 June 2010	Aviation Security Affairs Sector
1.2	24 February 2015	1 June 2015	Aviation Security Affairs Sector
1.3	22 March 2020	22 June 2020	Aviation Security Affairs Sector
1.4	23 September 2022	01 January 2023	Aviation Security Affairs Sector





Forward

RELATIONSHIP TO ICAO ANNEX 18 AND THE TECHNICAL INSTRUCTIONS FOR THE SAFE TRANSPORT OF DANGEROUS GOODS BY AIR (DOC 9284)

As per ICAO Annex 18 Standard 2.7 'Each Contracting State shall designate and specify to ICAO an appropriate authority within its administration to be responsible for ensuring compliance with this Annex'.

According to the UAE Federal Law 04/1996, the UAE General Civil Aviation Authority is the designated appropriate authority responsible for ensuring compliance with the international and national Dangerous Goods regulatory requirements within the United Arab Emirates. The United Arab Emirates is a member State of the ICAO Dangerous Goods Panel represented by the General Civil Aviation Authority.

The ICAO Annex 18 and the *Technical Instructions for the Safe Transport of Dangerous Goods by Air* are applicable for the transport of dangerous goods by air from, to or through the Member States of ICAO.

The United Arab Emirates applies more restrictions than the Technical Instructions for the Safe Transport of Dangerous Goods by Air.

The provisions of Annex 18 govern the international transport of dangerous goods by air. The broad provisions of Annex 18 are amplified by the detailed specifications of the Technical Instructions for the Safe Transport of Dangerous Goods by Air (Doc 9284).

Dangerous Goods may be carried safely by air transport provided certain requirements are complied with as defined in the ICAO Technical Instructions for the Safe Transport of Dangerous Goods by Air. These Regulations facilitate transport while giving a level of safety so that dangerous goods could be carried without posing hazard to the aircraft and its occupants, health and or the environment. Some dangerous goods are identified as too dangerous to be carried on any aircraft; some are forbidden in normal circumstances but may be carried with specific approval from the States concerned subject to meeting the requirements outlined in the;

- 1. UAE Civil Aviation Law
- 2. Civil Aviation Regulations CAR Part VI.
- 3. Bulletins & Alerts on Dangerous Goods (BADG).
- 4. Provisions described in the current ICAO Technical Instructions for the Safe Transport of Dangerous Goods by Air
- 5. IATA Dangerous Goods Regulations (current edition)
- 6. The Dangerous Goods requirements defined in operators' manuals.
- 7. Concerned States' additional Regulations and or requirements.

The ICAO Technical Instructions for the Safe Transport of Dangerous Goods by Air provide internationally agreed standards and practices on preparation and handling of dangerous goods so that they can be safely transported by air. Non-compliance with these Regulations may result in a serious hazard to the civil aviation safety, security and the environment. It is also an offence to accept for transport dangerous goods, which are in breach of these Regulations.





Relationship between ICAO Technical Instructions and IATA Dangerous Goods Regulations

There are certain differences between the IATA Dangerous Goods Regulations and ICAO Technical Instructions which stem from operational considerations and result in a regulatory regime which is necessarily more restrictive than ICAO requirements.

Civil Aviation Regulations (CAR Part VI - These Regulations)

Civil Aviation Regulations (CAR Part VI) recognizes other ICAO Annexes, the ICAO Technical Instructions and IATA Dangerous Goods Regulations in addition to further restrictions included herein these Regulations and the relevant dangerous goods provisions in other Parts of UAE Civil Aviation Regulations.

For matters related to the Transport of Dangerous Goods by Air, the Civil Aviation Regulations (CAR Part VI – These Regulations) shall always take precedence.

These Regulations are dynamic and would be revised as and when required. In order to maintain the integrity of These Regulations, GCAA may issue new dangerous goods regulatory requirements in other forms such as but not limited to Bulletin & Alert – Dangerous Goods (BADG) and email, to avoid operations disruption until such time those new regulatory requirements are incorporated into these Regulations.





Applicability

(These Regulations are applicable to all entities as defined in Sub Section 1.1)

UAE originating dangerous goods shipments shall only be offered and accepted for air transport by a GCAA dangerous goods certified entity as per the certification requirements defined in these Regulations.

Any substance which as presented for air transport, is liable to explode, dangerously react, produce a flame or dangerous evolution of heat or dangerous omission of toxic, corrosive or flammable gases or vapors under conditions normally encountered in transport and / or any other article the transport of which is prohibited by the General Civil Aviation Authority shall not be carried on aircraft under any circumstance.

Certain dangerous goods known to meet this description have been included in the ICAO Technical Instructions for the Safe Transport of Dangerous Goods by Air and the IATA Dangerous Goods Regulations with the word "Forbidden".

In cases of extreme urgency or when other forms of transport are inappropriate or full compliance with the prescribed requirements is contrary to the public interest, the General Civil Aviation Authority may grant exemption from the provisions of these Regulations provided that in such cases every effort is made to achieve an overall level of safety in transport which is equivalent to the level of safety provided by the ICAO Technical Instructions for the Safe Transport of Dangerous Goods by Air and the IATA Dangerous Goods Regulations.

Compliance with these Regulations (CAR Part VI)

It is the responsibility of all entities to comply with these Regulations, associated revisions and amendments.

Access to these Regulations (CAR Part VI)

All entities must ensure that the General Civil Aviation Authority Regulations (CAR Part VI) are accessible to all concerned personnel within the entity.

Civil Aviation Regulations can be accessed through the General Civil Aviation Authority website www.gcaa.gov.ae





SECTION 1 - Definitions & Acronyms

(All definitions in this section are meant only for these Regulations)





1.1 Definitions

Authorization certification or exemption or approval or any other form of dangerous goods related authorization issued by the General Civil Aviation Authority.

Audit an extensive oversight activity conducted by the General Civil Aviation Authority on entities performing direct or indirect functions related to the transport of dangerous goods by air to evaluate and assess their compliance with these Regulations.

Blacklisting an entity shall be banned from performing direct or indirect functions related to the air transport.

Competency Validation (COVAL) is the GCAA integrated approach to certify Dangerous Goods Training Instructors and Dangerous Goods Post Holders due to their impactful role to maintain the safe transport of dangerous goods by air, through the assessment and validation of their Knowledge, Skills and Attitude to ensure they are competent in the function(s) that they will perform prior to performing such function(s).

Dangerous goods articles or substances which are capable of posing a hazard to health, safety, property or the environment and which are shown in the list and classified according to ICAO Technical Instructions for the Safe Transport of Dangerous Goods by Air and IATA Dangerous Goods Regulations

Dangerous goods accident an occurrence associated with and related to the transport of dangerous goods by air, not necessarily occurring on board an aircraft, which results in fatal or serious injury to a person or major property or environmental damage.

Note:

A dangerous goods accident may also constitute an aircraft accident as defined in Annex 13 — Aircraft Accident and Incident Investigation.

Dangerous goods incident an occurrence, other than a dangerous goods accident, associated with and related to the transport of dangerous goods by air, not necessarily occurring on board an aircraft where:

- a) a person is injured;
- b) there is property or environmental damage;
- c) there is fire, breakage, spillage, leakage of contents or radiation or there is other evidence that the integrity of the packaging has not been maintained; or
- d) any occurrence relating to the transport of dangerous goods which seriously jeopardizes the aircraft or its occupants.

Note:

A dangerous goods incident may also constitute an aircraft incident as defined in Annex 13 — Aircraft Accident and Incident Investigation.

Entity means but not limited to any person, air operator, airport operator, ground handling agent, shipper, freight forwarder, cargo agent, training provider, packaging supplier, packer and aircraft maintenance organization performing direct or indirect functions related to air transportation.

Incompatible dangerous goods which, if mixed, would be liable to cause a dangerous evolution of heat or gas or produce a corrosive substance.





Inspection a selective oversight activity conducted by the General Civil Aviation Authority on entities performing direct or indirect functions related to the transport of dangerous goods by air to evaluate and assess their compliance with these Regulations. The inspection could be independent or a follow up of audit findings or observations.

Oversight means audit or inspection activities.

Revocation withdrawal of the Dangerous Goods authorization issued to an entity by the General Civil Aviation Authority.

Suspension means temporary postponement of Dangerous Goods authorization issued to an entity by the General Civil Aviation Authority.

These Regulations means UAE Civil Aviation Regulations (CAR Part VI) – Transport of Dangerous Goods by Air.





1.2 Acronyms

AWB	Air Waybill	
BADG	Bulletin & Alert on Dangerous Goods	
САРА	Corrective and Preventive Action	
CAR	Civil Aviation Regulations	
CAO	Cargo Aircraft Only	
СВТ	Computer Based Training	
СВТА	Competency Based Training & Assessment	
COVAL	Competency Validation	
DGPH	Dangerous Goods Post Holder	
DGR	IATA Dangerous Goods Regulations	
DGTI	Dangerous Goods Training Instructor	
DPO	Designated Postal Operator	
EDI	Electronic Data Interchange	
FANR	Federal Authority for Nuclear Regulation	
FIT	Function In Table	





GCAA	General Civil Aviation Authority
GHA	Ground Handling Agent
IATA	International Air Transport Association
IATA ATS	IATA Accredited Training School
ITDI	IATA Training and Development Institute
ICAO	International Civil Aviation Organization
ICAO TI	ICAO Technical Instructions for the Safe Transport of Dangerous Goods by Air
IDG	Instructions for Dispatch of Goods
NOTOC	Notification to Captain
SLI	Shipper's Letter of Instructions
RODGO	Reporting of Dangerous Goods Occurrence and Investigation





SECTION 2 - General Power of Entry





- 2.1 For the purpose of carrying out their functions, duties, or powers under these Regulations, a person duly authorized by the General Civil Aviation Authority and in possession of valid National Permit shall have right of access at any time to the following:
 - a) any aircraft, airport, building, or place; and
 - b) any document or record concerning any aircraft, aeronautical product, or aviation related service.
- 2.2 Without limiting the powers conferred by Paragraph 2.1, every person duly authorized by the General Civil Aviation Authority may at any time enter any aircraft, airport, building, or place, and carry out an inspection to determine whether or not a matter referred to in paragraphs a), b) or c) exists, if they have reasonable grounds to believe that:
 - a) any breach of these Regulations is being or about to be committed;
 - b) a condition imposed under any civil aviation safety/security program is not being complied with; or
 - c) a situation exists within the civil aviation system or is about to exist that constitutes a hazard to health, safety, property or environment.
- 2.3 A person who is authorized to have access to or to enter any aircraft, airport, building, or place under Paragraph 2.1 or Paragraph 2.2 of these Regulations;
 - a) may require any person who is in possession of an aviation safety or security program, or of any certificate, book, manual, record, list, notice, or other document that is required to be kept under these Regulations, to produce or surrender it; and
 - b) must explain, the relevant aviation document holders verbally, as soon as practicable, and in writing if the document is surrendered under paragraph 2.3 (a).
- 2.4 Nothing in Paragraph 2.1 or Paragraph 2.2 above shall confer on any person or agency the power to enter any dwelling place without authorization.
- **2.5** Every authorization issued under Paragraph 2.1, 2.2 & 2.3 above shall be valid for the period specified by the Authorizing Agency.
- **2.6** Every person exercising the power of entry conferred by Paragraph 2.1 or Paragraph 2.2 above shall carry a proof of identity and authority, issued by the General Civil Aviation Authority specifying:
 - a) the name and the office or offices held by the person; and
 - b) the person(s) is authorized by the General Civil Aviation Authority to exercise the powers conferred by Paragraphs 2.1 and 2.2 above to enter aircraft, airports, buildings, and places, and to carry out such inspection.
- **2.7** Every person exercising the power of entry conferred by Paragraph 2.1 and 2.2 above shall produce evidence of identity and authority:
 - a) on entering an aircraft, airport, building, or place; and
 - b) whenever subsequently there are reasonable grounds to do so.





SECTION 3 - Restrictions & Limitations





3.1 General

There are some restrictions that have been identified in the ICAO TI or IATA DGR, and there are some additional restrictions that have been established by GCAA. All such restrictions shall be complied with by all entities performing direct or indirect functions related to the transport of dangerous goods by air. Such restrictions (if applicable) shall include the following:

- a) Compliance with these Regulations. A copy of the UAE Civil Aviation Regulations (CAR Part VI) can be obtained online by using the official website www.gcaa.gov.ae
- b) Compliance with UAE State Variations (AE or AEG) as defined in the current editions of ICAO TI and IATA DGR (also Ref. Appendix-2 of these Regulations).
- c) Consultation with appropriate specialized agency such as FANR.
- d) All entities shall ensure that the trade activity in their trade license aligns or corresponds to the entity's operational nature at the time when they apply for the issuance or renewal of the entity's dangerous goods certificate issued by GCAA. Example: the trade activity "air cargo, cargo clearance, cargo packing services...etc." must be incorporated into the trade license for entities who wishes to obtain GCAA certificate as a Dangerous Goods certified freight forwarder".
- e) Compliance with the training provisions as outlined in these regulations subject to the training provider is operating/delivering dangerous goods training in the UAE, regardless the training provider is UAE-based or none-UAE based.
- f) No entity "UAE-based" shall advertise or conduct any marketing activities related to the transport of dangerous goods by air through any media channels, website and social media unless the entity is certified by GCAA to perform such dangerous goods activity(ies). This includes providing any misleading information contradicting with these regulations.

3.2 Disposal of Dangerous Goods, Protection and Development of the Environment

Dangerous goods must be disposed in accordance with article No.62 of the Federal Law No.24 issued on 17th October 1999, for the Protection and Development of Environment which states the following;

- a) Any public or private body, any natural or juridical person shall be prohibited from importing, bringing, burying, submersing in water, storing or disposing of hazardous wastes in any way in the environment of the State.
- b) Such bodies and persons shall also be prohibited from importing, bringing, burying, submersing in water, storing or disposing of Radioactive Material and wastes in any way in the environment of the State.
- c) It is also prohibited to allow the passing of marine, air or land transportation means carrying hazardous or Radioactive Material through the marine, air or land environment without a written authorization from the Agency.





3.3 Use of Opaque Shrink Wrapping

- **3.3.1** The use of outer opaque shrink wrapping for any air cargo shipment accepted for transport from the United Arab Emirates shall be prohibited.
- **3.3.2** This prohibition does not include;
 - a) Cargo which has been transferred between two air operators within the UAE (known as interline transfer cargo). A transfer can occur between air operators in the same Emirate, or between two different Emirates;
 - b) Re-export cargo which is re-exported from the same facility from which the shipment arrived without leaving the facility;
 - c) Sea-Air cargo in transit in the UAE <u>in an un-opened sea container with the origin customs seal</u> <u>intact;</u> and
 - d) UAE Military and Ministry of Interior shipments carried on civilian aircraft as cargo.

Note: The term "Shrink-wrap" is defined as a clinqing transparent film that tightly holds the contents together.

3.4 Dangerous Goods Post Holder (DGPH)

- 3.4.1 In addition to the current requirement for the Air Operator and Ground Handling Agent to nominate a Dangerous Goods Post Holder (DGPH), non-dangerous goods certified UAE registered Air Operator, "no-carry" Operator, Helicopter Operator, Designated Postal Operator, Dangerous Goods certified Freight Forwarder, Cargo Agency and Packaging Supplier, shall ensure to nominate a Dangerous Goods Post Holder (DGPH) and communicate their contact details to GCAA by 30th June 2023.
- **3.4.2** It is the responsibility of all entities referred to in 3.4.1 to;
 - a) Obtain GCAA approval, for their nominated DGPH prior to commencement of their duties and responsibilities. The GCAA approval for the DGPH shall include interviewing the nominee to collect the comprehensive understanding and verify the minimum qualifications of the nominated DGPH. This approach to approval of DGPH shall discontinue by 31st December 2023.
 - b) Ensure that effective 1st January till 31st Dec 2024, the DGPH undergoes GCAA Competency Validation (COVAL) Certification Course (COVAL-Initial), taking into consideration that GCAA shall conduct the COVAL-Initial certification courses for DGPH during the period 1st Jan to 31st December 2024. The nominated DGPH must undergo COVAL-Initial during the said period, while until such time the DGPH shall continue to perform their job functions after being approved by GCAA as per paragraph a) of Subsection 3.4.2.

3.4.3 Dangerous Goods Post Holder Nominee's Minimum Qualifications

a) Has a minimum of three years experience in the field of civil aviation operations preferably in air cargo or dangerous goods related operations.





- b) Holding a valid dangerous goods training certificate for personnel performing the function of DGPH as outlined in Table 4.1.D or Table 4.1.E of Section-4 of these regulations or Category-3, 5 or 6 (as applicable).
- c) Can efficiently converse in English.

3.4.4 Dangerous Goods Post Holder Responsibilities

- a) Shall ensure that the entity nominated them for this role is compliant with these Regulations.
- b) The DGPH can continue to perform their dangerous goods related job responsibilities subject to successfully complete any of the scheduled COVAL-Initial Certification Course during the period 1st January till 31st December 2024. They also must maintain a current Competency Validation Certificate (COVAL) at all times.
- c) As a prerequisite, holds a valid dangerous goods training certificate for "personnel performing the functions of Dangerous Goods Post Holder for Air Operators including "no-carry" Air Operators, Ground Handling Agents, Helicopter Operators, Designated Postal Operators, Freight Forwarders and Packaging Supplier" (as applicable) as defined in the Function In Tables "FIT 4.1.D & FIT 4.1.E of these regulations".
- d) Maintains a current GCAA COVAL certificate through COVAL Refresher/Recurrent after 24 months, from the month on which they completed their COVAL-Initial as defined in Table 4.10.A of these regulations.
- e) Familiar with and ensure implementation of their entity's internal Standard Operating Procedures or Work Instructions, or the relevant Ground Operations Manual, as applicable.
- f) In coordination with their employer, communicate any change/potential change in the status of the DGPH to the General Civil Aviation Authority, immediately upon they are aware of or come to know about such change/potential change.

3.5 Transport of Lithium Batteries

Lithium batteries present both chemical and electrical hazards where under certain conditions, this may lead to ignition of a fire as well as provide fuel for a fire caused by conditions unrelated to the batteries. The incorrect packaging may result in accidental activation of vehicle or equipment such as mobile phones, laptops and other portable electronic devices (PED) within the outer package, consequently resulting in the equipment overheating which could lead to a smoke, fire or explosion and may result in dangerous goods occurrence.

- **3.5.1** Lithium batteries including when contained in or packed with equipment must be kept away from extended exposure to inclement weather, which includes but not limited to direct sunlight, excessive heat and humidity (during the period 1st June till 31st October) during acceptance, storage, handling and loading.
- 3.5.2 UAE originating dangerous goods, including lithium batteries' shipments must be accepted from a GCAA Dangerous Goods Certified entity(s) only, including dangerous goods which are not subject to all provisions of the regulations.
- 3.5.3 UAE originating dangerous goods identified as "not restricted" under a special provision(s), shall be offered for air transport only by a GCAA Dangerous Goods Certified entity.





3.6 Unmanned Aerial Vehicle (UAV)

(or un-crewed aerial vehicle, commonly known as a drone)

Carriage of dangerous goods on Unmanned Aerial Vehicle (UAV) is forbidden unless exempted by the UAE General Civil Aviation Authority.

3.7 Retention of Records

- **3.7.1** All entities (as applicable) shall ensure that at least one copy of the documents or information appropriate to the transport by air of a consignment of dangerous goods is retained for a minimum period of thirty-six months after the flight on which the dangerous goods were transported. As a minimum, the documents or information which must be retained are the dangerous goods transport documents, the acceptance checklist (as applicable), , the identification of the person who performed the acceptance check and the written information to the pilot in-command.
- **3.7.2** Where the documents or the information are kept electronically or in a computer system, they must be capable of being reproduced in a printed manner upon request by GCAA.

3.8 Approvals and Exemptions

- 3.8.1 The request to carry dangerous goods under approval or exemption shall only be at the discretion of the General Civil Aviation Authority. UAE State Variation "Ref. AE 3 of ICAO TI, and AEG-03 of IATA DGR" must be adhered to (Ref. Appendix-2 of these Regulations).
- 3.8.2 The applicant shall inform GCAA by a written request for an authorization (approval or exemption) to transport Dangerous Goods at least seven (7) working days before the date of the flight on which the dangerous goods are to be carried. The request shall as a minimum contain:
 - a) Detailed description of the Dangerous Goods including, quantity, type of packaging and all other relevant documentation to support the request for special approval or exemption.
 - b) Other States' Competent Authority relevant approval or exemption, if applicable.
 - c) Any other related documents.
- **3.8.3** The applicant shall ensure to submit to GCAA the supporting document that clearly confirm that the intended air operator has no objection to accept and carry the dangerous goods for which the approval or exemption is being sought, for air transport.





SECTION 4 – Training





4.1 Competency Based Training & Assessment (CBTA) | Training Requirements

All entities performing activity(ies) directly or indirectly related to air transport must;

- **4.1.1** Ensure compliance with the dangerous goods training requirements as defined in Tables 4.1.A to 4.1.K of these regulations, as a minimum.
- **4.1.2** Ensure that their employees are competent to perform any function for which they are responsible prior to performing any of these functions. This must be achieved through training and assessment commensurate with the functions for which they are responsible, as per the GCAA minimum dangerous goods training requirements as defined in Tables 4.1.A to 4.1.K of these regulations.
- **4.1.3** Assess the competency of their employees after they complete their dangerous goods training and return to their work place. Such assessment must take place once every 12 months as a minimum, as outlined in these regulations.
- **4.1.4** Ensure to address the mandatory dangerous goods minimum training requirements into their relevant Operations Manual(s), Standard Operating Procedures or Work Instructions, as appropriate and maintain records of the Dangerous Goods Training for their employees.
- **4.1.5** Ensure that all dangerous goods training records of all employees are made available and accessible anytime to GCAA officials for regulatory purposes.





	Table 4.1.A					
Roods Training	for the Function in	Tables 4.1 A	41R	4 1 C	41 D	4

Function:								
	Personnel Delivering Dangerous Goods Training for the <u>Function in Tables 4.1.A, 4.1.B, 4.1.C, 4.1.D, 4.1.E, 4.1.G</u> and 4.1.I in the UAE ¹							
<u>uri</u>	u 4.1.	<u> </u>	Terms & Conditions					
Dι	Duration of Training - INITIAL 40 hours (5 days)							
Training Method: Classroom Instructor Led								
As	Assessment conducted by Training Provider: Using KSA ² Pass Mark - 80% Distinction – 90%							
G	GCAA COVAL: INITIAL ³ Jan to Dec 2023							
G	CAAC	COVAL: F	RECURRENT ⁴	Every 24 Months				
As	sess	ment cor	nducted by the Employer: Using KSA ²	every 12 months				
G	CAA	Course C	ode (to be incorporated into the Training Certificate)	FIT4.1.A ⁵				
			Task List	Proficiency Level				
0		lerstandii	ng the basics of dangerous goods					
	0.1	Recogn	izing dangerous goods applicability	****				
		0.1.1	Understand the definition	***				
		0.1.2	Recognize the legal framework (global, national)	****				
		0.1.2.1	Familiarize with GCAA CAR Part VI ⁶	***				
		0.1.3	Identify the application and scope	***				
		0.1.4	Differentiate hazard and risk	***				
	0.2	Unders	tanding the general limitations	****				
		0.2.1	Develop a sense of forbidden dangerous goods	****				
		0.2.2	Recognize potential hidden dangerous goods	****				
		0.2.3	Familiarize with passenger provisions	****				
	0.3	Identify	ing roles and responsibilities	****				
		0.3.1	Clarify the individual and collective role of the supply chain stakeholders	****				
		0.3.2	Understand the passengers responsibilities	***				
		0.3.3	Recognize the impact of State & operator variations	****				
	0.4	Underst	anding the importance of classification & packaging	***				
		0.4.1	Identify the general information about classes, divisions	***				
		0.4.2	Understand general principles of Packing Groups	***				
		0.4.3	Consider multiple hazards	****				
	0.5	Underst	anding hazard communication	***				
		0.5.1	Recognize the basic marking requirements	***				
		0.5.2	Recognize the basic labelling requirements	***				
		0.5.3	Identify the required documentation	***				
	0.6		izing with basic Emergency Response	***				
		0.6.1	Create awareness about general emergency procedures	***				
		0.6.2	Understand the employer's emergency response requirements	***				





	(Cont'd) Table 4.1.A							
1	Clas	ssifying dangerous goods						
	1.1	Evaluate	e a substance or an article against the classification criteria	***				
		1.1.1	Determine if it is dangerous goods	***				
		1.1.2	Determine if it is forbidden under any circumstances	***				
	1.2	Determi	ne dangerous goods description	****				
		1.2.1	Determine class or division	***				
		1.2.2	Determine packing group	***				
		1.2.3	Determine proper shipping name and UN number	***				
		1.2.4	Determine if it is forbidden unless approval or exemption is granted	***				
	1.3	Review	special provisions	***				
		1.3.1	Assess if special provision(s) is applicable	****				
		1.3.2	Apply special provision(s)	***				
2	Prep	oaring da	ngerous goods shipment					
	2.1	Assess	packing options including quantity limitations	***				
		2.1.1	Consider limitations (de minimis quantities, excepted quantities, limited quantities, passenger aircraft, cargo aircraft only, special provisions, dangerous goods in the mail)	***				
		2.1.2	Consider State and operator variations	****				
		2.1.3	Determine if all-packed-in-one can be used	****				
		2.1.4	Select how dangerous goods will be shipped based on limitations and variations	***				
	2.2	Apply pa	***					
		2.2.1	Consider constraints of packing instructions	****				
		2.2.2	Identify and follow the instructions provided by the packaging manufacturer when UN specification packaging is used	***				
		2.2.3	Select appropriate packaging materials (absorbent, cushioning, etc.)	****				
		2.2.4	Assemble package	****				
	2.3	Apply m	arks and labels	***				
		2.3.1	Determine applicable marks	***				
		2.3.2	Apply marks	***				
		2.3.3	Determine applicable labels	****				
		2.3.4	Apply labels	***				
	2.4	Assess	use of overpack	***				
		2.4.1	Determine if overpack can be used	***				
		2.4.2	Apply marks if necessary	****				
		2.4.3	***					
	2.5	Prepare	documentation	****				
		2.5.1	Complete the Shipper's Declaration	****				
			Complete other transport documents (e.g. air waybill)	****				
		2.5.3	Include other required documentation (approvals/exemptions, etc.)	****				
		2.5.4	Retain copies of documents	****				





			(Cont'd) Table 4.1.A	
3	Pro	cessing/	accepting cargo	
	3.1	Review	documentation	***
		3.1.1	Verify Shipper's Declaration	***
		3.1.2	Verify other transport documents (e.g. air waybill)	***
		3.1.3	Verify other documents (exemptions, approvals, etc.)	***
		3.1.4	Verify State/operator variations	***
	3.2	Review	v package(s)	***
		3.2.1	Verify marks	***
		3.2.2	Verify labels	***
		3.2.3	Verify packaging type	***
		3.2.4	Verify package conditions	***
		3.2.5	Verify State/operator variations	***
	3.3	Comple	ete acceptance procedures	***
		3.3.1	Complete acceptance checklist	***
		3.3.2	Provide shipment information for load planning	***
		3.3.3	Retain documents	***
	3.4	Proces	s/accept cargo other than dangerous goods	***
		3.4.1	Check documentation for indications of hidden/undeclared dangerous goods	****
		3.4.2	Check packages for indications of hidden/undeclared dangerous goods	****
4			argo pre-loading	
	4.1	Plan lo	ading	***
		4.1.1	Determine stowage requirements	***
		4.1.2	Determine segregation, separation, compartment limitations	***
	4.2	Prepar	e load for aircraft	***
		4.2.1	Check packages for indications of hidden/undeclared dangerous goods	***
		4.2.2	Check for damage and/or leakage	****
		4.2.3	Apply stowage requirements (e.g. segregation, separation, orientation, securing and protecting from damage)	****
		4.2.4	Apply ULD tags when applicable	***
	4.0	4.2.5	Transport cargo to aircraft	***
	4.3		NOTOC	***
		4.3.1	Enter required information	***
		4.3.2	Verify conformance with load plan	***
5	-	4.3.3	Transmit to loading personnel	***
ວ	5.1		passenger and crew baggage	
	3.1		s baggage	***
		5.1.1	Identify forbidden dangerous goods	***
	5.2	5.1.2	Apply approval requirements	***
	ე.∠		baggage	***
		5.2.1	Apply operator requirements	***
		5.2.2	Verify passenger baggage requirements	***
		5.2.3	Advise pilot-in-command, when applicable	***





			(Cont'd) Table 4.1.A	
6	Tran	sporting	cargo/baggage	
	6.1	Load air	rcraft	***
		6.1.1	Transport cargo/baggage to aircraft	***
		6.1.2	Check packages for indications of hidden/undeclared dangerous goods	***
		6.1.3	Check for damage and/or leakage	***
		6.1.4	Apply stowage requirements (e.g. segregation, separation, orientation, securing and protecting from damage)	***
		6.1.5	Verify aircraft load against NOTOC	***
		6.1.6	Provide NOTOC information to pilot-in-command and flight operations officer/flight dispatcher	***
	6.2	Manage	dangerous goods pre and during flight	***
		6.2.1	Address dangerous goods not permitted in baggage	***
		6.2.2	Interpret NOTOC	***
		6.2.3	Apply procedures in the event of an emergency	***
		6.2.4	Inform flight operations officer/flight dispatcher/air traffic control in the event of an emergency	***
		6.2.5	Inform emergency services of the dangerous goods on the NOTOC in the event of an emergency	***
	6.3	Unload	aircraft	***
		6.3.1	Apply specific unloading considerations	***
		6.3.2	Check packages for indications of hidden/undeclared dangerous goods	***
		6.3.3	Check for damage and/or leakage	***
		6.3.4	Transport cargo/baggage to facility/terminal	***
7	Colle	ecting sa	fety data	
	7.1	Report of	dangerous goods accidents	***
	7.2	Report of	dangerous goods incidents	***
	7.3	Report (undeclared/mis-declared dangerous goods	***
	7.4	Report of	dangerous goods occurrences	***

¹ This Function is a GCAA requirement and is not corresponding to any of the recommended functions in the current ICAO TI and IATA DGR.

² KSA: Knowledge, Skills & Attitude.

³ Personnel performing this function must enroll for COVAL - Initial course.

⁴ Personnel performing this function must maintain a current COVAL certificate every 24 months.

⁵ FIT: Function In Table.

⁶ GCAA additional requirement.





Table 4.1.B

Function:

Personnel <u>preparing</u> dangerous goods consignments for transport (Personnel <u>classifying</u> dangerous goods for transport is provided simultaneously) - "Shippers & Packers" including operator's staff acting as shippers, operator's staff preparing dangerous goods as Company Materials (COMAT) and staff of freight forwarders acting on behalf of the Shipper¹.

da	dangerous goods as Company Materials (COMAT) and staff of freight forwarders acting on behalf of the Shipper ¹ .						
			Terms & Conditions	Classifying dangerous goods	Preparing dangerous goods shipment		
				24 Hours	24 hours (3		
Du	ıratio	n of Trair	ning - INITIAL	(3days)	days)		
Tr	aining	g Method	: Classroom	Instructor Led	Instructor Led		
As	ssess	ment cor	nducted by Training Provider: Using KSA ²	Passing Mark 80%	Pass Mark - 80% Distinction – 90%		
As	ssess	ment cor	nducted by the Employer: Using KSA ²	Once every 12 months	every 12 months		
G	CAA	Course C	ode (to be incorporated into the Training Certificate)	FIT4.1.B (C) ³	FIT4.1.B(P) ⁴		
			Task List	Proficie	ency Level		
0	Und	erstandir	ng the basics of dangerous goods	*	*		
	0.1	Recogni	izing dangerous goods applicability	*	*		
		0.1.1	Understand the definition	*	*		
		0.1.2	Recognize the legal framework (global, national)	*	*		
		0.1.2.1	Familiarize with GCAA CAR Part VI ⁵	*	*		
		0.1.3	Identify the application and scope	*	*		
		0.1.4	Differentiate hazard and risk	*	*		
	0.2	Unders	tanding the general limitations	*	*		
		0.2.1	Develop a sense of forbidden dangerous goods	*	*		
		0.2.2	Recognize potential hidden dangerous goods	*	*		
		0.2.3	Familiarize with passenger provisions	*	*		
	0.3	Identify	ing roles and responsibilities	*	*		
		0.3.1	Clarify the individual and collective role of the supply chain stakeholders	*	*		
		0.3.2	Understand the passengers responsibilities	*	*		
	L	0.3.3	Recognize the impact of State & operator variations	*	*		
	0.4	Underst	anding the importance of classification & packaging	*	*		
		0.4.1	Identify the general information about classes, divisions	*	*		
		0.4.2	Understand general principles of Packing Groups	*	*		
		0.4.3	Consider multiple hazards	*	*		
	0.5	Underst	anding hazard communication	*	*		
		0.5.1	Recognize the basic marking requirements	*	*		
		0.5.2	Recognize the basic labelling requirements	*	*		
		0.5.3	Identify the required documentation	*	*		
	0.6	Familiar	izing with basic Emergency Response	*	*		
		0.6.1	Create awareness about general emergency procedures	*	*		
		0.6.2	Understand the employer's emergency response requirements	*	*		





	(Cont'd) Table 4.1.B					
1	Clas	sifying o	dangerous goods	***	**	
	1.1	Evaluate	e a substance or an article against the classification criteria	***	**	
		1.1.1	Determine if it is dangerous goods	***	**	
		1.1.2	Determine if it is forbidden under any circumstances	***	**	
	1.2	Determ	ine dangerous goods description	***	**	
		1.2.1	Determine class or division	***	**	
		1.2.2	Determine packing group	***	**	
		1.2.3	Determine proper shipping name and UN number	***	**	
		1.2.4	Determine if it is forbidden unless approval or exemption is granted	***	**	
	1.3	Review	special provisions	***	**	
		1.3.1	Assess if special provision(s) is applicable	***	**	
		1.3.2	Apply special provision(s)	***	**	
2	Prep	aring da	angerous goods shipment		***	
	2.1	Assess	packing options including quantity limitations		***	
		2.1.1	Consider limitations (de minimis quantities, excepted quantities, limited quantities, passenger aircraft, cargo aircraft only, special provisions, dangerous goods in the mail)		***	
		2.1.2	Consider State and operator variations		***	
		2.1.3	Determine if all-packed-in-one can be used		***	
		2.1.4	Select how dangerous goods will be shipped based on limitations and variations		***	
	2.2	Apply p	eacking requirements		***	
		2.2.1	Consider constraints of packing instructions		***	
		2.2.2	Identify and follow the instructions provided by the packaging manufacturer when UN specification packaging is used		***	
		2.2.3	Select appropriate packaging materials (absorbent, cushioning, etc.)		***	
		2.2.4	Assemble package		***	
	2.3		narks and labels		***	
		2.3.1	Determine applicable marks		***	
		2.3.2	Apply marks		***	
		2.3.3	Determine applicable labels		***	
		2.3.4	Apply labels		***	
	2.4	Assess	use of overpack		***	
		2.4.1	Determine if overpack can be used		***	
		2.4.2	Apply marks if necessary		***	
		2.4.3	Apply labels if necessary		***	
	2.5	Prepare	e documentation		***	
		2.5.1	Complete the Shipper's Declaration		***	
		2.5.2	Complete other transport documents (e.g. air waybill)		***	
		2.5.3	Include other required documentation (approvals/exemptions, etc.)		***	
		2.5.4	Retain copies of documents		***	





(Cont'd) Table 4.1.B						
7	Collecting safety data					
	7.1	Report dangerous goods accidents	**	**		
	7.2	Report dangerous goods incidents	**	**		
	7.3	Report undeclared/mis-declared dangerous goods	**	**		
	7.4	Report dangerous goods occurrences	**	**		

Note: Training aspects marked in-red in the Sub-Task lists of this function indicates a GCAA additional requirement(s) vs the current ICAO TI and IATA DGR.

¹ The wording marked in-red in the description of this function indicates a GCAA additional description vs the description for the relevant function in the current ICAO TI and IATA DGR.

² KSA: Knowledge, Skills & Attitude.

³ FIT4.1.B (C): Function In Table 4.1.B for personnel (Classifying Dangerous Goods)

⁴ FIT4.1.B (P): Function In Table 4.1.B for personnel (Preparing dangerous goods shipments)

⁵ GCAA additional requirement.





Table 4.1.C

Function:

Personnel responsible for processing or accepting goods presented as general cargo or processing mail other than dangerous goods¹

dangerous goods ¹								
			Terms & Conditions					
	Duration of Training - INITIAL 16 Hours (2 days)							
Tra	aining	Instructor Led						
As	sess	nducted by Training Provider: Using KSA ²	Pass Mark - 80% Distinction – 90%					
As	sess	every 12 months						
GC	CAA (FIT4.1.C ³						
			Task List	Proficiency Level				
0	Und	erstandir	ng the basics of dangerous goods	*				
	0.1	Recogni	*					
		0.1.1	Understand the definition	*				
		0.1.2	Recognize the legal framework (global, national)	*				
		0.1.2.1	Familiarize with GCAA CAR Part VI ⁴	*				
		0.1.3	Identify the application and scope	*				
		0.1.4	Differentiate hazard and risk	*				
	0.2							
		0.2.1	Develop a sense of forbidden dangerous goods	*				
		0.2.2	Recognize potential hidden dangerous goods	*				
		0.2.3	Familiarize with passenger provisions	*				
	0.3	Identify	*					
		0.3.1	Clarify the individual and collective role of the supply chain stakeholders	*				
		0.3.3	Recognize the impact of State & operator variations	*				
	0.4	Underst	*					
		0.4.1	Identify the general information about classes, divisions	*				
		0.4.2	Understand general principles of Packing Groups	*				
		0.4.3	Consider multiple hazards	*				
	0.5	Underst	anding hazard communication	*				
		0.5.1	Recognize the basic marking requirements	*				
		0.5.2	Recognize the basic labelling requirements	*				
		0.5.3	Identify the required documentation	*				
	0.6	Familiarizing with basic Emergency Response		*				
		0.6.1	Create awareness about general emergency procedures	*				
		0.6.2	Understand the employer's emergency response requirements	*				
3								
	3.4	Process	/accept cargo other than dangerous goods	***				
		3.4.1	Check documentation for indications of hidden/undeclared dangerous goods	***				
		3.4.2	Check packages for indications of hidden/undeclared dangerous goods	***				





	(Cont'd) Table 4.1.C						
7	Collecting safety data						
	7.1	Report dangerous goods accidents	**				
	7.2	7.2 Report dangerous goods incidents					
	7.3	Report undeclared/mis-declared dangerous goods	**				
	7.4	Report dangerous goods occurrences	**				

Note: Training aspects marked in-red in the Sub-Task lists of this function indicates a GCAA additional requirement(s) vs the current ICAO TI and IATA DGR.

¹ The wording marked in-red in the description of this function indicates a GCAA additional description vs the description for the relevant function in the current ICAO TI and IATA DGR.

² KSA: Knowledge, Skills & Attitude.

³ FIT: Function In Table.

⁴ GCAA additional requirement.





Table 4.1.D

Function:

Personnel responsible for processing or accepting dangerous goods consignments or accepting mail containing dangerous goods or personnel nominated by their employer to meeting the GCAA Dangerous Goods Certification requirements or personnel performing the functions of Dangerous Goods Post Holder for Air Operators including "no-carry" Air Operators, Ground Handling Agents, Helicopter Operators, Designated Postal Operators, Freight Forwarders and Packaging Suppliers¹

Forwarders and Packaging Suppliers ¹							
Terms & Conditions 32 Hours (4							
Duratio	Duration of Training - INITIAL						
Trainin	Training Method: Classroom						
		nducted by Training Provider: Using KSA ²	Distinction - 90%				
	GCAA COVAL (For DGPH only): INITIAL ³ Jan						
		For DGPH only): RECURRENT4	Every 24 Months				
		nducted by the Employer: Using KSA ²	every 12 months				
GCAA (Course C	ode (to be incorporated into the Training Certificate)	FIT4.1.D⁵				
		Task List	Proficiency Level				
0 Und	erstandii	*					
0.1	Recogni	izing dangerous goods applicability	*				
	0.1.1	Understand the definition	*				
	0.1.2	Recognize the legal framework (global, national)	*				
	0.1.2.1	Familiarize with GCAA CAR Part VI ⁶	*				
	0.1.3	Identify the application and scope	*				
	0.1.4	Differentiate hazard and risk	*				
0.2	Unders	*					
	0.2.1	Develop a sense of forbidden dangerous goods	*				
	0.2.2	Recognize potential hidden dangerous goods	*				
	0.2.3	Familiarize with passenger provisions	*				
0.3	Identify	*					
	0.3.1	Clarify the individual and collective role of the supply chain stakeholders	*				
	0.3.2	Understand the passengers responsibilities	*				
	0.3.3	Recognize the impact of State & operator variations	*				
0.4	Underst	*					
	0.4.1	Identify the general information about classes, divisions	*				
	0.4.2	Understand general principles of Packing Groups	*				
	0.4.3	Consider multiple hazards	*				
0.5	Underst	*					
	0.5.1	Recognize the basic marking requirements	*				
	0.5.2	Recognize the basic labelling requirements	*				
	0.5.3	Identify the required documentation	*				
0.6		izing with basic Emergency Response	*				
	0.6.1	Create awareness about general emergency procedures	*				
	0.6.2	Understand the employer's emergency response requirements	*				





	(Cont'd) Table 4.1.D					
1	Classifying dangerous goods ★★★					
	1.1	Evaluat	e a substance or an article against the classification criteria	***		
		1.1.1	Determine if it is dangerous goods	***		
		1.1.2	Determine if it is forbidden under any circumstances	***		
	1.2	Determ	ine dangerous goods description	***		
		1.2.1	Determine class or division	***		
		1.2.2	Determine packing group	***		
		1.2.3	Determine proper shipping name and UN number	***		
		1.2.4	Determine if it is forbidden unless approval or exemption is granted	***		
	1.3	Review	special provisions	***		
		1.3.1	Assess if special provision(s) is applicable	***		
		1.3.2	Apply special provision(s)	***		
2	Prep	oaring da	angerous goods shipment	***		
	2.1	Assess	packing options including quantity limitations	***		
		2.1.1	Consider limitations (de minimis quantities, excepted quantities, limited quantities, passenger aircraft, cargo aircraft only, special provisions, dangerous goods in the mail)	***		
		2.1.2	Consider State and operator variations	***		
		2.1.3	Determine if all-packed-in-one can be used	***		
		2.1.4	Select how dangerous goods will be shipped based on limitations and variations	***		
	2.2	Apply p	acking requirements	***		
		2.2.1	Consider constraints of packing instructions	***		
		2.2.2	Identify and follow the instructions provided by the packaging manufacturer when UN specification packaging is used	***		
		2.2.3	Select appropriate packaging materials (absorbent, cushioning, etc.)	***		
		2.2.4	Assemble package	***		
	2.3	Apply m	narks and labels	***		
		2.3.1	Determine applicable marks	***		
		2.3.2	Apply marks	***		
		2.3.3	Determine applicable labels	***		
		2.3.4	Apply labels	***		
	2.4	Assess	use of overpack	***		
	2.5	2.4.1	Determine if overpack can be used	***		
		2.4.2	Apply marks if necessary	***		
		2.4.3	Apply labels if necessary	***		
		Prepare	e documentation	***		
		2.5.1	Complete the Shipper's Declaration	***		
		2.5.2	Complete other transport documents (e.g. air waybill)	***		
		2.5.3	Include other required documentation (approvals/exemptions, etc.)	***		
		2.5.4	Retain copies of documents	***		





			(Cont'd) Table 4.1.D		
3	Processing/accepting cargo				
	3.1	Review	***		
		3.1.1	Verify Shipper's Declaration	***	
		3.1.2	Verify other transport documents (e.g. air waybill)	***	
		3.1.3	Verify other documents (exemptions, approvals, etc.)	***	
		3.1.4	Verify State/operator variations	***	
	3.2	Review	package(s)	***	
		3.2.1	Verify marks	***	
		3.2.2	Verify labels	***	
		3.2.3	Verify packaging type	***	
		3.2.4	Verify package conditions	***	
		3.2.5	Verify State/operator variations	***	
	3.3	Comple	ete acceptance procedures	***	
		3.3.1	Complete acceptance checklist	***	
		3.3.2	Provide shipment information for load planning	***	
		3.3.3	Retain documents	***	
	3.4	Proces	s/accept cargo other than dangerous goods	***	
		3.4.1	Check documentation for indications of hidden/undeclared dangerous goods	***	
		3.4.2	Check packages for indications of hidden/undeclared dangerous goods	***	
4	Man	Managing cargo pre-loading			
	4.1	Plan loading		***	
		4.1.1	Determine stowage requirements	***	
		4.1.2	Determine segregation, separation, compartment limitations	***	
	4.2	Prepare	e load for aircraft	***	
		4.2.1	Check packages for indications of hidden/undeclared dangerous goods	***	
		4.2.2	Check for damage and/or leakage	***	
		4.2.3	Apply stowage requirements (e.g. segregation, separation, orientation, securing and protecting from damage)	***	
		4.2.4	Apply ULD tags when applicable	***	
		4.2.5	Transport cargo to aircraft	***	
	4.3	Issue N	NOTOC	***	
		4.3.1	Enter required information	***	
		4.3.2	Verify conformance with load plan	***	
		4.3.3	Transmit to loading personnel	***	





			(Cont'd) Table 4.1.D			
6	Transporting cargo/baggage					
	6.1	Load a	ircraft	***		
		6.1.1	Transport cargo/baggage to aircraft	***		
		6.1.2	Check packages for indications of hidden/undeclared dangerous goods	***		
		6.1.3	Check for damage and/or leakage	***		
		6.1.4	Apply stowage requirements (e.g. segregation, separation, orientation, securing and protecting from damage)	***		
		6.1.5	Verify aircraft load against NOTOC	***		
		6.1.6	Provide NOTOC information to pilot-in-command and flight operations officer/flight dispatcher	***		
	6.3	Unload	d aircraft	***		
		6.3.1	Apply specific unloading considerations	***		
		6.3.2	Check packages for indications of hidden/undeclared dangerous goods	***		
		6.3.3	Check for damage and/or leakage	***		
		6.3.4	Transport cargo/baggage to facility/terminal	***		
7	Coll	ecting s	safety data			
	7.1	Report	dangerous goods accidents	**		
	7.2	Report	dangerous goods incidents	**		
	7.3	Report	undeclared/mis-declared dangerous goods	**		
	7.4	Report	dangerous goods occurrences	**		

Note: Training aspects marked in-red in the Sub-Task lists of this function indicates a GCAA additional requirement(s) vs the current ICAO TI and IATA DGR.

¹ The wording marked in-red in the description of this function indicates a GCAA additional description vs the description for the relevant function in the current ICAO TI and IATA DGR.

² KSA: Knowledge, Skills & Attitude.

³ Dangerous Goods Post Holder (DGPH) must enroll for COVAL - Initial course.

⁴ Dangerous Goods Post Holder (DGPH) must maintain a current COVAL certificate every 24 months.

⁵ FIT: Function In Table.

⁶ GCAA additional requirement.





Table 4.1.E

Function:

Personnel responsible for handling and storage of cargo or mail in a warehouse, loading and unloading ULD and loading and unloading aircraft cargo compartments or personnel performing the functions of quality control/compliance monitoring activities or personnel performing the functions of Dangerous Goods Post Holder for Air Operators including "no-carry" Air Operators, Ground Handling Agents, Helicopter Operators, Designated Postal Operators, Freight Forwarders, Packaging Suppliers and Personnel Delivering Dangerous Goods Training for the Function in Tables 4.1.F, 4.1.H, 4.1.J and 4.1.K in the UAE¹

Terms & Conditions						
Duration	Duration of Training - INITIAL 16 Hours (2 days)					
Trainir	Training Method: Classroom Or Computer Based					
Asses	Assessment conducted by Training Provider: Using KSA ²					
GCAA	COVAL (F	For Training Instructor): INITIAL ³	Jan to Dec 2023			
GCAA	COVAL (F	For DGPH): INITIAL ³	Jan to Dec 2024			
GCAA	COVAL (F	For Training Instructor and DGPH): RECURRENT4	Every 24 Months			
Asses	sment cor	nducted by the Employer: Using KSA ²	every 12 months			
GCAA	Course C	ode (to be incorporated into the Training Certificate)	FIT4.1.E ⁵			
		Task List	Proficiency Level			
0 Un	derstandiı	ng the basics of dangerous goods	*			
0.1	Recogn	izing dangerous goods applicability	*			
	0.1.1	Understand the definition	*			
	0.1.2	Recognize the legal framework (global, national)	*			
	0.1.2.1	Familiarize with GCAA CAR Part VI ⁶	*			
	0.1.3	Identify the application and scope	*			
	0.1.4	Differentiate hazard and risk	*			
0.2	Unders	Understanding the general limitations				
	0.2.1	Develop a sense of forbidden dangerous goods	*			
	0.2.2	Recognize potential hidden dangerous goods	*			
	0.2.3	Familiarize with passenger provisions	*			
0.3	Identify	Identifying roles and responsibilities				
	0.3.1	Clarify the individual and collective role of the supply chain stakeholders	*			
	0.3.3	Recognize the impact of State & operator variations	*			
0.4	Underst	anding the importance of classification & packaging	*			
	0.4.1	Identify the general information about classes, divisions	*			
	0.4.2	Understand general principles of Packing Groups	*			
	0.4.3	Consider multiple hazards	*			
0.5	Underst	anding hazard communication	*			
	0.5.1	Recognize the basic marking requirements	*			
	0.5.2	Recognize the basic labelling requirements	*			
	0.5.3	Identify the required documentation	*			
0.6	Familiar	izing with basic Emergency Response	*			
	0.6.1	Create awareness about general emergency procedures	*			
	0.6.2	Understand the employer's emergency response requirements	*			





			(Cont'd) Table 4.1.E	
4	Man	aging ca	rgo pre-loading	***
	4.1	Plan lo	ading	***
		4.1.1	Determine stowage requirements	***
		4.1.2	Determine segregation, separation, compartment limitations	***
	4.2	Prepare	e load for aircraft	***
		4.2.1	Check packages for indications of hidden/undeclared dangerous goods	***
		4.2.2	Check for damage and/or leakage	***
		4.2.3	Apply stowage requirements (e.g. segregation, separation, orientation, securing and protecting from damage)	***
		4.2.4	Apply ULD tags when applicable	***
		4.2.5	Transport cargo to aircraft	***
6	Tran	sporting	cargo/baggage	
	6.1	Load a	ircraft	***
		6.1.1	Transport cargo/baggage to aircraft	***
		6.1.2	Check packages for indications of hidden/undeclared dangerous goods	***
		6.1.3	Check for damage and/or leakage	***
		6.1.4	Apply stowage requirements (e.g. segregation, separation, orientation, securing and protecting from damage)	***
		6.1.5	Verify aircraft load against NOTOC	***
		6.1.6	Provide NOTOC information to pilot-in-command and flight operations officer/flight dispatcher	***
	6.3	Unload	aircraft	***
		6.3.1	Apply specific unloading considerations	***
		6.3.2	Check packages for indications of hidden/undeclared dangerous goods	***
		6.3.3	Check for damage and/or leakage	***
		6.3.4	Transport cargo/baggage to facility/terminal	***
7	Colle	ecting sa	fety data	
	7.1	Report	dangerous goods accidents	**
	7.2	Report	dangerous goods incidents	**
	7.3	Report	undeclared/mis-declared dangerous goods	**
	7.4	Report	dangerous goods occurrences	**

Note: Training aspects marked in-red in the Sub-Task lists of this function indicates a GCAA additional requirement(s) vs the current ICAO TI and IATA DGR.

¹ The wording marked in-red in the description of this function indicates a GCAA additional description vs the description for the relevant function in the current ICAO TI and IATA DGR.

² KSA: Knowledge, Skills & Attitude.

³ Dangerous Goods Training Instructor and DGPH must enroll for COVAL - Initial course.

⁴ Dangerous Goods Training Instructor and DGPH must maintain a current COVAL certificate every 24 months.

⁵ FIT: Function In Table.

⁶ GCAA additional requirement.





Table 4.1.F

Function:

Personnel responsible for accepting passenger and crew baggage, managing aircraft boarding areas and other functions involving direct passenger contact at an airport.

fur	functions involving direct passenger contact at an airport.							
	Terms & Conditions							
Du	Duration of Training - INITIAL 8 hours (1 day) Training Method: ClassroomOr Computer Based Instructor Led							
Tra	Training Method: ClassroomOr Computer Based Ins							
As	Assessment conducted by Training Provider: Using KSA ¹ Pass M Distinct							
As	Assessment conducted by the Employer: Using KSA ¹ every 12 m							
GC	CAAC	FIT4.1.F ²						
			Task List	Proficiency Level				
0	Und	erstandi	ng the basics of dangerous goods	*				
	0.1	Recogn	izing dangerous goods applicability	*				
		0.1.1	Understand the definition	*				
		0.1.2	Recognize the legal framework (global, national)	*				
		0.1.2.1	Familiarize with GCAA CAR Part VI ³	*				
		0.1.3	Identify the application and scope	*				
		0.1.4	Differentiate hazard and risk	*				
	0.2	Unders	*					
		0.2.1	Develop a sense of forbidden dangerous goods	*				
		0.2.2	Recognize potential hidden dangerous goods	*				
		0.2.3	Familiarize with passenger provisions	*				
	0.3	Identify	ing roles and responsibilities	*				
		0.3.1	Clarify the individual and collective role of the supply chain stakeholders	*				
		0.3.2	Understand the passengers responsibilities	*				
		0.3.3	Recognize the impact of State & operator variations	*				
	0.4	Underst	anding the importance of classification & packaging	*				
		0.4.1	Identify the general information about classes, divisions	*				
	0.5	Underst	anding hazard communication	*				
		0.5.1	Recognize the basic marking requirements	*				
		0.5.2	Recognize the basic labelling requirements	*				
		0.5.3	Identify the required documentation	*				
	0.6	Familiar	izing with basic Emergency Response	*				
		0.6.1	Create awareness about general emergency procedures	*				
		0.6.2	Understand the employer's emergency response requirements	*				





	(Cont'd) Table 4.1.F					
5	Acc	epting p	assenger and crew baggage	***		
	5.1	Proces	s baggage	***		
		5.1.1	Identify forbidden dangerous goods	***		
		5.1.2	Apply approval requirements	***		
	5.2	Accept	baggage	***		
		5.2.1	Apply operator requirements	***		
		5.2.2	Verify passenger baggage requirements	***		
		5.2.3	Advise pilot-in-command, when applicable	***		
7	Coll	ecting s	afety data			
	7.1	Report	dangerous goods accidents	*		
	7.2	Report	dangerous goods incidents	*		
	7.3	Report	undeclared/mis-declared dangerous goods	*		
	7.4	Report	dangerous goods occurrences	*		

KSA: Knowledge, Skills & Attitude.
 FIT: Function In Table.
 GCAA additional requirement.





			Table 4.1.G		
Fι	ınctio	n : Persor	nnel responsible for the planning of aircraft loading. (LC/Ground Dispatcher/LM)		
			Terms & Conditions		
Dı	ıratio	n of Traii	ning - INITIAL	8 hours (1 day)	
Tr	aining	Instructor Led			
As	sess	ment cor	nducted by Training Provider: Using KSA1	Pass Mark - 80% Distinction - 90%	
Assessment conducted by the Employer: Using KSA ¹ every 12					
G	CAA (FIT4.1.G ²			
		Proficiency Level			
0	Und	erstandiı	ng the basics of dangerous goods	*	
	0.1	Recogn	izing dangerous goods applicability	*	
		0.1.1	Understand the definition	*	
		0.1.2	Recognize the legal framework (global, national)	*	
		0.1.2.1	Familiarize with GCAA CAR Part VI ³	*	
		0.1.3	Identify the application and scope	*	
		0.1.4	Differentiate hazard and risk	*	
	0.2	Unders	tanding the general limitations	*	
		0.2.1	Develop a sense of forbidden dangerous goods	*	
		0.2.2	Recognize potential hidden dangerous goods	*	
		0.2.3	Familiarize with passenger provisions	*	
	0.3	Identify	ing roles and responsibilities	*	
		0.3.1	Clarify the individual and collective role of the supply chain stakeholders	*	
		0.3.2	Understand the passengers responsibilities	*	
		0.3.3	Recognize the impact of State & operator variations	*	
	0.4	Underst	anding the importance of classification & packaging	*	
		0.4.1	Identify the general information about classes, divisions	*	
		0.4.2	Understand general principles of Packing Groups	*	
		0.4.3	Consider multiple hazards	*	
	0.5	Underst	anding hazard communication	*	
		0.5.1	Recognize the basic marking requirements	*	
		0.5.2	Recognize the basic labelling requirements	*	
		0.5.3	Identify the required documentation	*	
	0.6	Familiar	izing with basic Emergency Response	*	
		0.6.1	Create awareness about general emergency procedures	*	
		0.6.2	Understand the employer's emergency response requirements	*	
4	Man	aging ca	rgo pre-loading	***	
	4.1	Plan loa	ding	***	
		4.1.1	Determine stowage requirements	***	
		4.1.2	Determine segregation, separation, compartment limitations	***	
	4.3	Issue N	ОТОС	***	
		4.3.1	Enter required information	***	
		4.3.2	Verify conformance with load plan	***	
		4.3.3	Transmit to loading personnel	***	





	(Cont'd) Table 4.1.G						
7	Collecting safety data						
	7.1	Report dangerous goods accidents	**				
	7.2	**					
	7.3	Report undeclared/mis-declared dangerous goods	**				
	7.4	Report dangerous goods occurrences	**				

KSA: Knowledge, Skills & Attitude.
 FIT: Function In Table.
 GCAA additional requirement.





		Table 4.1.H				
Func	tion: Flight	Crew				
		Terms & Conditions				
Dura	tion of Trai	ning - INITIAL	8 hours (1 day)			
Train	Training Method: Classroom Or Computer Based Instructor Led					
Asse	ssment con	ducted by Training Provider: Using KSA ¹	Pass Mark - 80% Distinction - 90%			
Asses	ssment con	ducted by the Employer: Using KSA ¹	every 12 months			
GCA	A Course Co	de (to be incorporated into the Training Certificate)	FIT4.1.H ²			
		Task List	Proficiency Level			
0 U	nderstandi	ng the basics of dangerous goods	*			
0	.1 Recogn	nizing dangerous goods applicability	*			
	0.1.1	Understand the definition	*			
	0.1.2	Recognize the legal framework (global, national)	*			
	0.1.2.1	Familiarize with GCAA CAR Part VI ³	*			
	0.1.3	Identify the application and scope	*			
	0.1.4	Differentiate hazard and risk	*			
0	.2 Unders	Understanding the general limitations				
	0.2.1	Develop a sense of forbidden dangerous goods	*			
	0.2.2	Recognize potential hidden dangerous goods	*			
	0.2.3	Familiarize with passenger provisions	*			
0	.3 Identify	Identifying roles and responsibilities				
	0.3.1	Clarify the individual and collective role of the supply chain stakeholders	*			
	0.3.2	Understand the passengers responsibilities	*			
	0.3.3	Recognize the impact of State & operator variations	*			
0	.4 Unders	tanding the importance of classification & packaging	*			
	0.4.1	Identify the general information about classes, divisions	*			
	0.4.2	Understand general principles of Packing Groups	*			
	0.4.3	Consider multiple hazards	*			
0	.5 Unders	tanding hazard communication	*			
	0.5.1	Recognize the basic marking requirements	*			
	0.5.2	Recognize the basic labelling requirements	*			
	0.5.3	Identify the required documentation	*			
0		rizing with basic Emergency Response	*			
	0.6.1	Create awareness about general emergency procedures	*			
	0.6.2	Understand the employer's emergency response requirements	*			





	(Cont'd) Table 4.1.H						
6	Trar	sporting cargo/baggage					
	6.2	Manage	***				
		6.2.1	Address dangerous goods not permitted in baggage	***			
		6.2.2	Interpret NOTOC	***			
		6.2.3	Apply procedures in the event of an emergency	***			
		6.2.4	Inform flight operations officer/flight dispatcher/air traffic control in the event of an emergency	***			
		6.2.5	Inform emergency services of the dangerous goods on the NOTOC in the event of an emergency	***			
7	Coll	ecting sa	afety data				
	7.1	Report	dangerous goods accidents	**			
	7.2	Report	dangerous goods incidents	**			
	7.3	Report	Report undeclared/mis-declared dangerous goods				
	7.4	Report	dangerous goods occurrences	**			

¹ KSA: Knowledge, Skills & Attitude.² FIT: Function In Table.

³ GCAA additional requirement.





	Table 4.1.l					
Fu	Function: Personnel responsible for flight operations and flight dispatchers					
	Terms & Conditions					
Dι	Duration of Training - INITIAL 8 ho					
Tra	Training Method: Classroom Or Computer Based Instructor Led					
As	Assessment conducted by Training Provider: Using KSA ¹ Pass Mark - 80% Distinction - 90%					
As	sess	ment cor	nducted by the Employer: Using KSA1	every 12 months		
G	CAAC	Course C	ode (to be incorporated into the Training Certificate)	FIT4.1.I ²		
			Task List	Proficiency Level		
0	Und	erstandi	ng the basics of dangerous goods	*		
	0.1	Recogn	izing dangerous goods applicability	*		
		0.1.1	Understand the definition	*		
		0.1.2	Recognize the legal framework (global, national)	*		
		0.1.2.1	Familiarize with GCAA CAR Part VI ³	*		
		0.1.3	Identify the application and scope	*		
		0.1.4	Differentiate hazard and risk	*		
	0.2	Unders	*			
		0.2.1	Develop a sense of forbidden dangerous goods	*		
		0.2.2	Recognize potential hidden dangerous goods	*		
		0.2.3	Familiarize with passenger provisions	*		
	0.3	Identify	ing roles and responsibilities	*		
		0.3.1	Clarify the individual and collective role of the supply chain stakeholders	*		
		0.3.2	Understand the passengers responsibilities	*		
		0.3.3	Recognize the impact of State & operator variations	*		
	0.4	Underst	anding the importance of classification & packaging	*		
		0.4.1	Identify the general information about classes, divisions	*		
		0.4.2	Understand general principles of Packing Groups	*		
		0.4.3	Consider multiple hazards	*		
	0.5	Underst	anding hazard communication	*		
		0.5.1	Recognize the basic marking requirements	*		
		0.5.2	Recognize the basic labelling requirements	*		
		0.5.3	Identify the required documentation	*		
	0.6	Familiar	izing with basic Emergency Response	*		
		0.6.1	Create awareness about general emergency procedures	*		
		0.6.2	Understand the employer's emergency response requirements	*		





	(Cont'd) Table 4.1.I							
6	Trar	ransporting cargo/baggage						
	6.2	Manage	e dangerous goods pre and during flight	***				
		6.2.1	Address dangerous goods not permitted in baggage	***				
		6.2.2	Interpret NOTOC	***				
		6.2.3	Apply procedures in the event of an emergency	***				
		6.2.4	Inform flight operations officer/flight dispatcher/air traffic control in the event of an emergency	***				
		6.2.5	Inform emergency services of the dangerous goods on the NOTOC in the event of an emergency	***				
7	Coll	ecting s	afety data					
	7.1	Report	dangerous goods accidents	**				
	7.2	Report	dangerous goods incidents	**				
	7.3	Report	undeclared/mis-declared dangerous goods	**				
	7.4	Report	dangerous goods occurrences	**				

Note: Training aspects marked in-red in the Sub-Task lists of this function indicates a GCAA additional requirement(s) vs the current ICAO TI and IATA DGR.

¹ KSA: Knowledge, Skills & Attitude.² FIT: Function In Table.

³ GCAA additional requirement.





			Table 4.1.J			
Fu	Function: Cabin Crew					
	Terms & Conditions					
Dι	Duration of Training - INITIAL 8 hours (1 day)					
_	Training Method: Classroom Or Computer Based Instructor Led					
	Assessment conducted by Training Provider: Using KSA1 Pass Mark - 80%					
				Distinction - 90%		
			nducted by the Employer: Using KSA ¹	every 12 months		
G	CAA (Course C	ode (to be incorporated into the Training Certificate)	FIT4.1.J ²		
			Task List	Proficiency Level		
0	Und	erstandii	ng the basics of dangerous goods	*		
	0.1		izing dangerous goods applicability	*		
		0.1.1	Understand the definition	*		
		0.1.2	Recognize the legal framework (global, national)	*		
		0.1.2.1	Familiarize with GCAA CAR Part VI ³	*		
		0.1.3	Identify the application and scope	*		
		0.1.4	Differentiate hazard and risk	*		
	0.2	Unders	tanding the general limitations	*		
		0.2.1	Develop a sense of forbidden dangerous goods	*		
		0.2.2	Recognize potential hidden dangerous goods	*		
		0.2.3	Familiarize with passenger provisions	*		
	0.3	Identify	ing roles and responsibilities	*		
		0.3.1	Clarify the individual and collective role of the supply chain stakeholders	*		
		0.3.2	Understand the passengers responsibilities	*		
		0.3.3	Recognize the impact of State & operator variations	*		
	0.4	Shadretaning the imperialise of diagonication a packaging		*		
		0.4.1	Identify the general information about classes, divisions	*		
	0.5	Underst	anding hazard communication	*		
		0.5.1	Recognize the basic marking requirements	*		
		0.5.2	Recognize the basic labelling requirements	*		
		0.5.3	Identify the required documentation	*		
	0.6	Familiar	izing with basic Emergency Response	*		
		0.6.1	Create awareness about general emergency procedures	*		
		0.6.2	Understand the employer's emergency response requirements	*		
5		epting pa	assenger and crew baggage			
	5.2	Accept I	paggage	***		
		5.2.1	Apply operator requirements	***		
		5.2.2	Verify passenger baggage requirements	***		
		5.2.3	Advise pilot-in-command, when applicable	***		





	(Cont'd) Table 4.1.J				
6 Transporting cargo/baggage					
	6.2	Manage dangerous goods pre and during flight		***	
		6.2.1	Address dangerous goods not permitted in baggage	***	
		6.2.3	Apply procedures in the event of an emergency	***	
7	Collecting safety data				
	7.1	Report dangerous goods accidents		*	
	7.2	Report dangerous goods incidents		*	
	7.3	Report undeclared/mis-declared dangerous goods		*	
	7.4	Report dangerous goods occurrences		*	

Note: Training aspects marked in-red in the Sub-Task lists of this function indicates a GCAA additional requirement(s) vs the current ICAO TI and IATA DGR.

¹ KSA: Knowledge, Skills & Attitude.

² FIT: Function In Table.

³ GCAA additional requirement.





	Table 4.1.K				
Fu	Function: Personnel responsible for security screening for passengers, crew, baggage, cargo and mail.				
			Terms & Conditions		
Duration of Training - INITIAL 8 hou				8 hours (1 day)	
Tr	aining	g Method	: Classroom Or Computer Based	Instructor Led	
I Assessment conducted by Italiand Provider. Hisian KSA:				Pass Mark - 80% Distinction - 90%	
As	sess	ment cor	iducted by the Employer: Using KSA1	every 12 months	
G	CAA C	Course C	ode (to be incorporated into the Training Certificate)	FIT4.1.K ²	
			Task List	Proficiency Level	
0	Und	erstandir	ng the basics of dangerous goods	*	
	0.1	Recogni	zing dangerous goods applicability	*	
		0.1.1	Understand the definition	*	
		0.1.2	Recognize the legal framework (global, national)	*	
		0.1.2.1	Familiarize with GCAA CAR Part VI ³	*	
		0.1.3	Identify the application and scope	*	
		0.1.4	Differentiate hazard and risk	*	
	0.2	Unders	tanding the general limitations	*	
		0.2.1	Develop a sense of forbidden dangerous goods	*	
		0.2.2	Recognize potential hidden dangerous goods	*	
		0.2.3	Familiarize with passenger provisions	*	
	0.3	Identify	ing roles and responsibilities	*	
		0.3.1	Clarify the individual and collective role of the supply chain stakeholders	*	
		0.3.2	Understand the passengers responsibilities	*	
		0.3.3	Recognize the impact of State & operator variations	*	
	0.4	Underst	anding the importance of classification & packaging	*	
		0.4.1	Identify the general information about classes, divisions	*	
	0.5	Understanding hazard communication		*	
		0.5.1	Recognize the basic marking requirements	*	
		0.5.2	Recognize the basic labelling requirements	*	
		0.5.3	Identify the required documentation	*	
	0.6	Familiarizing with basic Emergency Response		*	
		0.6.1	Create awareness about general emergency procedures	*	
_		0.6.2	Understand the employer's emergency response requirements	*	
3 Processing/accepting					
	3.4	Process/accept cargo other than dangerous goods		***	
		3.4.1	Check documentation for indications of hidden/undeclared dangerous goods	***	
_	_	3.4.2	Check packages for indications of hidden/undeclared dangerous goods	***	
5			epting passenger and crew baggage		
	5.1 Process baggage			***	
		5.1.1	Identify forbidden dangerous goods	***	
		5.1.2	Apply approval requirements	***	





(Cont'd) Table 4.1.K			
7	Collecting safety data		
	7.1	Report dangerous goods accidents	*
	7.2	Report dangerous goods incidents	*
	7.3	Report undeclared/mis-declared dangerous goods	*

¹ KSA: Knowledge, Skills & Attitude.

4.2 Dangerous Goods Training Acknowledgment by GCAA

The General Civil Aviation Authority shall acknowledge dangerous goods training offered/ approved by:

- a) one of the General Civil Aviation Authority certified training providers;
- b) the International Civil Aviation Organization (ICAO);
- c) the IATA Training and Development Institute (ITDI);
- d) the IATA Accredited Training School (ATS) provided that ATS training material has been approved by the General Civil Aviation Authority.
- e) other State's appropriate national authority subject to being reviewed, accepted and approved by GCAA, at the discretion of GCAA.

4.3 Approval of Training Programs & Accreditation

- **4.3.1** All dangerous goods training programs must be reviewed and approved by GCAA, before the training being delivered in the UAE.
- **4.3.2** All dangerous goods training programs must include the Knowledge, Skills and Attitude elements as integral part of the programs' contents.
- **4.3.3** Dangerous Goods training providers must comply with the GCAA dangerous goods training certification or approval requirements as outlined in these Regulations.
- **4.3.4** All training courses (either classroom or computer based) delivered by the training provider shall be subject to examination as per the training curricula to verify participants' understanding of the Regulations as per the relevant dangerous goods training function. A confirmation of assessing the Knowledge through successful completion of the examination, in addition to a confirmation of assessing the Skills and Attitude shall always be required.

² FIT: Function In Table.

³ GCAA additional requirement.





4.4 Training Provider's Responsibilities, Development and Delivery of Training

- **4.4.1** The Training Provider shall ensure compliance with the GCAA dangerous goods certification requirements and obtain GCAA dangerous goods Training Provider's certification as outlined in these regulations.
- **4.4.2** The Training Provider offering classroom or Computer Based Training (CBT) of any dangerous goods training course(s) shall:
 - a) be physically located in the United Arab Emirates;
 - b) be holding a valid trade license and relevant approvals from the concerned local authorities in the UAE;
 - c) ensure that the teaching facility is either directly or indirectly (in a form of official agreement) under their own sponsorship.
 - d) nominate a Dangerous Goods Training Instructor(s) meeting the requirements of Subsection 4.9 of these regulations.
 - e) use proper training aids providing an effective learning environment.
 - f) acknowledge these regulations and the current ICAO TI and IATA DGR as the authentic source of training material.
 - g) as applicable, establish training courses as they relate to various functions as required and outlined in Tables 4.1.A to 4.1.K and Table 4.6.A of these Regulations.
 - h) include in all the Dangerous Goods training courses an overview of Civil Aviation Regulations (CAR Part VI), as defined in Tables 4.1.A to 4.1.K in these regulations.
 - i) develop initial and recurrent Dangerous Goods training courses with well- defined aims and objectives.
 - j) include the Knowledge, Skills & Attitude elements in the training materials (as applicable) and in the delivery of both initial and recurrent training.
 - k) assess participants' Knowledge, Skills & Attitude during the course of the training and at the end of training as integral part of the implementation of Dangerous Goods CBTA.
 - I) at the end of training, ensure that the examination or assessment is not a test of memory rather navigation of regulations based on open book written examination or assessment.
 - m) comply with the duration of Initial training delivery time as defined in Tables 4.1.A to 4.1.K (as a minimum) of these regulations and appropriate examination/assessment time limit based on the training curricula approved by GCAA.
 - n) comply with the duration of Recurrent training delivery time as defined in Subsection 4.5.3 of these regulations and appropriate examination/assessment time limit based on the training curricula approved by GCAA.
 - o) maintain evidence/records of successful completion, training title, place, validity of training by means of a certificate, in addition to detailed list of participants.





- p) consolidate all training evidence/records referred to in paragraph o) of Subsection 4.4.2 of these regulations and communicate it Quarterly to the General Civil Aviation Authority at DangerousGoodsTeam@gcaa.gov.ae.
- q) if accredited by external organization(s) such as but not limited to ICAO or IATA, the Training Provider(s) must;
 - 1. Communicate such information to GCAA by uploading a supporting evidence into the GCAA online application when they apply for the initial issuance or renewal of their Dangerous Goods Certificate.
 - 2. Communicate any changes to the status of such accreditation to GCAA.
- r) communicate any changes which may affect the quality of training to GCAA, such as but not limited to changes to the contents of training materials approved by GCAA, changes in the status of employment of the instructor approved by GCAA or developed additional function other than those in Tables 4.1.A to 4.1.K of these regulations...etc.
- s) maintain a current list of dangerous goods training instructors and communicate it annually to GCAA. The list must contain the instructor name, designation, the function they are delivering the training for, their contact details and validity of the following certificates as a minimum;
 - 1. The Functions In Table 4.1.A or CAT-6 (as applicable).
 - 2. Instructional skills or teaching techniques or equivalent certificate (as applicable).
 - 3. COVAL-Initial or COVAL-Recurrent (as applicable).
- t) ensure that training materials are developed in accordance with the training aspects referred to in the task list as defined in Tables FIT 4.1.A to 4.1.K of these regulations, not necessarily following the same sequence in the sub-tasks of Tables FIT 4.1.A to 4.1.K, but may at their discretion consolidate information related to a specific sub-task. For example, may consolidate "Classification" related information into the training material to ensure effective delivery of training...etc.

4.5 Recurrent Training and Assessment

- **4.5.1** Personnel must receive recurrent training and assessment within 24 months of previous training and assessment to ensure that competency has been maintained. However, if recurrent training and assessment is completed within the final three months of validity of the previous training and assessment, the period of validity extends from the month on which the recurrent training and assessment was completed until 24 months from the expiry month of that previous training and assessment.
- **4.5.2** Recurrent training shall cover all aspects of initial training and shall aim to update and assess the knowledge Skills and Attitude in the light of changes to these Regulations.
- **4.5.3** As applicable, the duration of recurrent training shall not be less than 60% from the specified duration of Initial training for all the training functions as defined in Tables 4.1.A to 4.1.K.

4.6 Computer Based Training (CBT)/E-learning

In addition to the regulatory requirements referred to in Subsection 4.4 of these regulations, the following must be also complied with;





- **4.6.1** Dangerous Goods Computer Based Training courses shall be evaluated and approved by the General Civil Aviation Authority. The most appropriate and mix of training methods shall be determined to ensure they are meeting the dangerous goods training regulatory requirements.
- **4.6.2** The training provider(s) delivering dangerous goods Computer Based Training (CBT) shall:
 - a) outline the objectives of e-learning.
 - b) confirm identity of the student using electronic or documented methods.
 - ensure the dangerous goods minimum training requirements specified in Tables 4.1.A to 4.1.K
 (as applicable) of these regulations are met and no component shall be compromised or
 diluted.
 - d) ensure that the training contents are based on the current Tables 4.1.A to 4.1.K (as applicable) as outlined in these regulations.
 - e) ensure that at least one hard copy of the IATA DGR manual is available during the Computer Based Training (CBT) where the training is conducted in a classroom environment. For remote e-Learning environment, ensure that the relevant dangerous goods contents are available in Resources, Library, or Reference Documents etc. depending on the Learning Management System (LMS).
 - f) provide instructor(s) meeting the requirements of Subsection 4.9 of these regulations, for all initial courses to assist when students are not confident or need help.
 - g) ensure recording of essential steps of training progress.
 - h) ensure that all 'completion of training' records are available upon request and can be produced in printed form.
 - i) make all records of the training courses available upon request by the General Civil Aviation Authority.

Table 4.6.A				
Dangerous Goods Computer Based Training (CBT)				
	Initial	Recurrent		
	Applicable to personnel preforming the Functions In Tables (FIT)	Applicable to personnel preforming the Functions In Tables (FIT)		
	FIT 4.1.E to FIT 4.1.K	FIT 4.1.E to FIT 4.1.K		
Instructor Led	Mandatory	Optional		
Classroom	Optional	Optional		
Virtual	Optional	Optional		





4.7 Training & Assessment Records

- **4.7.1** The employer must maintain a record of training and assessment for personnel.
- **4.7.2** The record of training and assessment must include:
 - a) the individual's name;
 - b) the month of completion of the most recent training and assessment;
 - a description, copy or reference to training and assessment materials used to meet the training and assessment requirements;
 - d) the name and address of the organization providing the training and assessment; and
 - e) evidence which shows that the personnel have been assessed as competent.
- **4.7.3** Training and assessment records must be retained by the employer for a minimum period of 36 months from the most recent training and assessment completion month and must be made available upon request to GCAA.

4.8 The Conduct of Assessment

It is the responsibility of the employer after their employee's successful completion of training and return to their work place to;

- a) identify and decide the method they will implement to assess their employee's competency (aacceptable assessment methodologies such as questionnaire, quiz, on job recorded assessment).
- b) identify the qualifications of the assessor and who should conduct the assessment,
- c) maintain records of the assessment as outlined in Subsection 4.7 of these regulations.
- d) ensure that the assessment takes place "once" every 12 months as a minimum, as outlined in these regulations.
- e) furnish the records of the assessment as and when requested by GCAA.

Note:

At their discretion, the employer may assign the assessor's activities to personnel such as the DGPH or a specific department or business unit within their organization, or a third party.

4.9 Instructor Qualifications and Competencies

- 4.9.1 Dangerous goods training instructors can continue to deliver dangerous goods training until such time they successfully complete any of the scheduled COVAL-Initial Certification Course during the period Jan-Dec 2023. They also must maintain a current Competency Validation Certificate (COVAL).
- **4.9.2** As a prerequisite for COVAL-Initial,
 - a) Instructors delivering dangerous goods training for FIT 4.1.A, 4.1.B, 4.1.C, 4.1.D, 4.1.E and the function of Load Control/Ground Dispatcher/Load Master in FIT 4.1.G which its training aspects are almost equivalent to/previously known as Categories 1, 2, 3, 4, 5, 6, 7 and 8, must hold a current dangerous goods training certificate of "FIT 4.1.A" or "CAT-6", or prove of delivering one CAT-6 training in the last 6 months as a minimum (official letter from the employer is acceptable), prior to the date of COVAL course they registered for (as applicable).
 - b) Instructors delivering dangerous goods training for FIT 4.1.F, 4.1.G (except the function of Load Control/Ground Dispatcher/Load Master), 4.1.H, 4.1.I, 4.1.J and 4.1.K which its training aspects are equivalent to/previously known as Categories 9, 10, 11 and 12, must hold a current dangerous goods training certificate of "FIT 4.1.E" or "CAT-8", as applicable.





- **4.9.3** Maintain a current GCAA COVAL certificate through COVAL Refresher/Recurrent after 24 months, from the month on which they completed their COVAL-Initial as defined in Table 4.10.A of these regulations.
- **4.9.4** Must hold a current and relevant instructional skills certificate such as Train the Trainer, Professional Skills for Dangerous Goods Instructor...etc. issued by a training provider either accredited or recognized by GCAA as outlined in these regulations. Please note that this certification must be updated every 5 years subject to the Instructor continues to deliver dangerous goods training for the function(s) they are approved by GCAA to deliver the training for.
- **4.9.5** To maintain valid qualification, the Instructor delivering initial and recurrent dangerous goods training programs must at least every 24 months deliver such a course or in the absence of this attend initial or recurrent (as applicable) training referred to in Tables 4.1.A to 4.1.K of these regulations.
- 4.9.6 Have a minimum of five (5) years in Cargo Operations but must not have less than two–(2) years of working experience in the handling and acceptance of Dangerous Goods or in Dangerous Goods safety operations or have a minimum of five (5) years work experience in the field on which they will deliver the training for or at the discretion of GCAA have a (5) years of working experience in a civil aviation related field.
- **4.9.7** Instructors should also undertake a "hands-on" experience program (i.e. job shadowing) in a variety of roles requiring dangerous goods training.
- **4.9.8** New instructors of dangerous goods should construct and co-facilitate dangerous goods courses together with an established instructor.

4.10 Competency Validation (COVAL) Certification Course

4.10.1 COVAL - Initial Certification Course

4.10.1.1 For Dangerous Goods Training Instructors

- a) From January to December 2023, Dangerous Goods Training Instructors must undergo GCAA COVAL Certification Course Initial (Ref. Table 4.10.A of these regulations).
- b) As a prerequisite, must meet the requirements of Subsection 4.9.2, 4.9.4 and 4.9.6 of these regulations.
- c) As applicable, the Dangerous Goods Training Instructor who may also act as Dangerous Goods Post Holder (DGPH), shall comply with the requirements of Subsection 4.10.1.1 a) and b) of these regulations and therefore not required to undergo COVAL-Initial and Recurrent course for DGPH.

4.10.1.2 For Dangerous Goods Post Holder (DGPH)

- a) From January to December 2024, the Dangerous Goods Post Holder must undergo GCAA COVAL Certification Course Initial (Ref. Table 4.10.A of these regulations).
- b) As a prerequisite, must hold a valid Dangerous Goods Training Certificate for FIT 4.1.D or FIT 4.1.E or Category-3, 5 or 6 (as applicable).
- c) As applicable and at the discretion of the employer, the DGPH who may also act as Dangerous Goods Training Instructor and meet the requirements of Subsection 4.9.4 to 4.9.8 must comply with the requirements of Subsection 4.10.1.1 a) and b) of these regulations and therefore not required to undergo COVAL-Initial and Recurrent course for DGPH. Otherwise, the provisions of Subsection 4.10.1.2 a) and b) of these regulations shall apply.





4.10.2 COVAL - Recurrent Certification Course

Dangerous Goods Training Instructors and Dangerous Goods Post Holders must undergo the relevant COVAL-Recurrent Certification Course every 24 months, from the month they completed their COVAL-Initial Certification Course.

4.10.3 COVAL Certification Course Outline

4.10.3.1 **Duration**

4.10.3.1.1 COVAL-Initial

- a) This classroom Course provides 3 days of regulatory instruction delivered by an official from GCAA.
- b) Participants performance in terms of Knowledge, Skills and Attitude shall be based on daily assessment.

4.10.3.1.2 COVAL-Recurrent

- a) This classroom Course provides 2 days of regulatory instruction delivered by an official from GCAA.
- b) Participants performance in terms of Knowledge, Skills and Attitude shall be based on daily assessment.

4.10.3.2 Registration & Payment

Registration to COVAL and payment shall be through the International Aviation Consulting & Training (IACT) website https://www.iact.ae/en/Default.aspx

4.10.3.3 COVAL In-House

Entities wishes to arrange in-house COVAL Initial or Recurrent for their Dangerous Goods Training Instructors or for the Dangerous Goods Post Holder(s), must contact the International Aviation Consulting & Training (IACT) at info@iact.ae, minimum two months prior to the proposed COVAL course date.

Table 4.10.A Competency Validation (COVAL) Certification Course			
	COVAL - Recurrent		
For	Prerequisite	During	Frequency
DGTI	Ref. Subsections 4.9.2, 4.9.4 & 4.9.6	Jan-Dec 2023	Every 24 months
DGPH	Ref. Subsection 3.4.3	Jan-Dec 2024	Every 24 months





SECTION 5 - Responsibilities





5.1 Training Provider

It is the responsibility of training provider(s) to comply with the dangerous goods training regulatory requirements as outlined in Section-4 of these regulations.

5.2 Shipper

The shipper shall ensure that UAE originating dangerous goods shipments are only tendered to a GCAA dangerous goods certified entity. The shipper shall also ensure compliance with these Regulations.

- **5.2.1** Any person undertaking the responsibilities of shipper including operator's staff acting as shipper and operator's staff preparing dangerous goods as company material (COMAT) must undergo the mandatory dangerous goods training commensurate with their responsibilities as outlined in these Regulations, ICAO TI and IATA DGR.
 - a) The shipper shall be responsible for classification, identification, packing, marking, labelling and preparing relevant dangerous goods transport documents. Where a shipper does not have staff trained in accordance with the Dangerous Goods regulatory minimum training requirements, the shipper may hire a freight forwarder or cargo agent to act on his behalf where the latter must ensure compliance with the UAE State Variation AEG-02.
 - b) The shipper shall prepare the dangerous goods in accordance with these Regulations. If UN specification packaging is used, the shipper shall maintain record of the UN Package Certificate.
- 5.2.2 Intending to prevent hidden, undeclared and misdeclared dangerous goods from being entered into the air transport supply chain, the shipper is responsible for the accuracy of the data relating to the description of the cargo inserted by the shipper or on the shipper's behalf on the Air Waybill when an Air Waybill is used, or furnished by the shipper or on the shipper's behalf to the carrier for insertion in the shipment record. When such information is provided by means of Electronic Data Interchange (EDI), it is the responsibility of the shipper or the shipper's agent to verify the contents, accuracy and completeness of the EDI messages and subsequent messages according to the agreed standards and specifications.
- **5.2.3** The shipper shall establish procedures to prevent the use of generic commodity descriptions of goods to enter into air transport unverified and ensure the accurate and correct description of goods in the "Nature and Quantity of Goods" box of the Air Waybill when an Air Waybill is used.
- 5.2.4 The use of outer opaque shrink wrapping for any air cargo shipment accepted for transport from the United Arab Emirates shall be prohibited. This includes UAE originating and re-export cargo. This prohibition does not include cargo which has been transferred between two air operators within the UAE (known as interline transfer cargo). A transfer can occur between air operators in the same Emirate, or between two different Emirates. UAE Military and Ministry of Interior shipments carried on civilian aircrafts are exempted from this restriction.
- **5.2.5** Ensure that all dangerous goods training records of all employees are made available and accessible anytime to GCAA officials for regulatory purposes.





5.3 Freight Forwarder and Cargo Agent

- **5.3.1** Nominate a Dangerous Goods Post Holder (DGPH) in accordance with the requirements of 3.4 of these Regulations.
- 5.3.2 The freight forwarder and cargo agent shall not offer and accept dangerous goods for air transport unless they are GCAA dangerous goods certified in accordance with the certification requirement as outlined in these Regulations, ICAO TI and IATA DGR.
- **5.3.3** Regardless whether an entity is dangerous goods certified or not certified by GCAA, all freight forwarders and cargo agencies shall establish procedures to ensure that the mandatory dangerous goods minimum training requirements are complied with for;
 - a) staff involved in processing cargo or mail (other than dangerous goods), as defined in Section-4, FIT 4.1.C in these regulations.
 - b) staff involved in the handling, storage and loading of cargo or mail, as defined in Section-4, FIT 4.1.E in these regulations.
- **5.3.4** When the freight forwarder and cargo agent act on behalf of the shipper, the relevant shipper's responsibilities shall apply.
- 5.3.5 A General Civil Aviation Authority certified freight forwarder or Cargo Agent may sign the dangerous goods transport document on behalf of the shipper only when they have been employed by the shipper to undertake the shipper's responsibilities in accordance with these Regulations. A facsimile signature is acceptable where applicable laws and regulations recognize the legal validity of facsimile signatures. When the dangerous goods transport document is signed on behalf of the shipper by a General Civil Aviation Authority certified freight forwarder or Cargo Agent, the freight forwarder or Cargo Agent must apply an official company stamp to the dangerous goods transport document adjacent to the signature thereon.
- **5.3.6** A General Civil Aviation Authority certified freight forwarder or Cargo Agent shall ensure that the statement "GCAA DGCERT #0000" is clearly incorporated in the 'Handling Information Box' of the Air Waybill when dangerous goods is offered for air transport.
- **5.3.7** Shall report dangerous goods occurrences as per these Regulations.
- **5.3.8** Shall conduct regular assessment of their staffs' dangerous goods training and maintain proper records of such training for regulatory purposes.
- **5.3.9** Shall establish procedures to ensure that any cargo package is properly inspected and that it is free from any damage or leakage and make sure that the cargo package is airworthy, prior to offering the cargo for air transport.
- **5.3.10** Shall ensure that all staff concerned have access to the Civil Aviation Regulations (CAR Part VI) and the entity's relevant operational procedures or work instructions.





5.3.11 Accurate and Correct Declaration of Cargo;

- a) When acting on behalf of the shipper and intending to prevent hidden, undeclared or misdeclared dangerous goods from being entered into the air transport supply chain, the freight forwarder or cargo agent is responsible for the accuracy of the data relating to the description of the cargo inserted or furnished on the shipper's behalf on the air waybill to the carrier for insertion in the shipment record. When such information is provided by means of Electronic Data Interchange (EDI), it is the responsibility of the freight forwarder or cargo agent to verify the contents, accuracy and completeness of the EDI messages and subsequent messages according to the agreed standards and specifications.
- b) Prevent the use of generic commodity descriptions of goods to enter into air transport unverified and ensure the accurate and correct description of goods in the "Nature and Quantity of Goods" box of the Air Waybill when an Air Waybill is used.
- **5.3.12** If applicable, shall ensure that the handling information column of the air waybill shows the statement "as per Watch-List requirements" when a cargo shipment or dangerous goods shipment is offered for air transport under the GCAA Dangerous Goods and Cargo Watch-List Program.
- 5.3.13 The use of outer opaque shrink wrapping for any air cargo shipment accepted for transport from the United Arab Emirates shall be prohibited. This includes UAE originating and re-export cargo. This prohibition does not include cargo which has been transferred between two air operators within the UAE (known as interline transfer cargo). A transfer can occur between air operators in the same Emirate, or between two different Emirates. UAE Military and Ministry of Interior shipments carried on civilian aircrafts are exempted from this restriction.
- **5.3.14** Shall ensure that all dangerous goods training records of all employees are made available and accessible anytime to GCAA officials for regulatory purposes.

5.4 UAE Registered Air Operator 'Carrying Dangerous Goods'

- **5.4.1** Nominate a Dangerous Goods Post Holder (DGPH) in accordance with the requirements of 3.4 of these Regulations.
- **5.4.2** UAE originating dangerous goods shipments shall only be offered and accepted for air transport by a GCAA dangerous goods certified entity.
- 5.4.3 Must conduct a specific safety risk assessment for the transport of items in an aircraft cargo compartment by air and provide the GCAA with a copy of the safety risk assessment whenever there is a change in the status of dangerous goods risk assessment, and send it to dangerousgoodsteam@gcaa.gov.ae. The specific safety risk assessment must take into consideration dangerous goods in cargo, mail and baggage.
- **5.4.4** Shall perform any function related to the air transport of dangerous goods in compliance with these Regulations, ICAO TI and IATA DGR.
- **5.4.5** Shall ensure that its appointed General Sales Agent (GSA), General Sales and Services Agent (GSSA) and Ground Handling Agent (GHA) comply with the air operator's current operational procedures or work instructions and these Regulations as applicable.
- **5.4.6** Shall ensure that the statement "*GCAA DGCERT* #0000", is clearly incorporated in the 'Handling Information Box' of the Air Waybill when dangerous goods is offered for air transport.





- **5.4.7** Ensure that all dangerous goods training records of all employees are made available and accessible anytime to GCAA officials for regulatory purposes.
- 5.4.8 If applicable, shall ensure that the handling information column of the air waybill shows the statement "This shipment has been offered and accepted for air transport in compliance with the UAE GCAA Dangerous Goods and Cargo Watch-List program requirements" when a cargo shipment or dangerous goods shipment is offered for air transport under the GCAA Dangerous Goods and Cargo Watch-List Program.
- **5.4.9** Shall communicate to GCAA the name and contact details about their appointed General Sales Agents (GSA) and General Sales and Service Agents (GSSA) in the United Arab Emirates, if applicable.
- **5.4.10** Shall ensure that all staff undergo the appropriate dangerous goods training as mandated in these Regulations.
- 5.4.11 The use of outer opaque shrink wrapping for any air cargo shipment accepted for transport from the United Arab Emirates shall be prohibited. This includes UAE originating and re-export cargo. This prohibition does not include cargo which has been transferred between two air operators within the UAE (known as interline transfer cargo). A transfer can occur between air operators in the same Emirate, or between two different Emirates. UAE Military and Ministry of Interior shipments carried on civilian aircrafts are exempted from this restriction.
- **5.4.12** Shall report dangerous goods occurrences as per these Regulations.
- 5.4.13 Must establish a dangerous goods training program regardless of whether or not they are approved to transport dangerous goods as cargo. The training program must include procedures for dangerous goods training of their employees, to ensure that personnel are competent to perform any function for which they are responsible prior to performing any of these functions. This must be achieved through training and assessment commensurate with the functions for which they are responsible.

5.5 UAE Registered Air Operator 'No Carry'

- **5.5.1** "No Carry" Dangerous Goods Air Operators shall;
 - a) Nominate a Dangerous Goods Post Holder (DGPH) in accordance with the requirements of 3.4 of these Regulations.
 - b) Must establish a dangerous goods training program regardless of whether or not they are approved to transport dangerous goods as cargo. The training program must include procedures for dangerous goods training of their employees, to ensure that personnel are competent to perform any function for which they are responsible prior to performing any of these functions. This must be achieved through training and assessment commensurate with the functions for which they are responsible.
 - c) Report dangerous goods occurrences as outlined in these Regulations.
 - d) Must conduct a specific safety risk assessment for the transport of items in an aircraft cargo compartment by air and provide the GCAA with a copy of the safety risk assessment whenever there is a change in the status of the risk assessment, and send it to





<u>dangerousgoodsteam@gcaa.gov.ae</u> . The specific safety risk assessment must take into consideration cargo, mail and baggage.

- e) If applicable, ensure that the handling information column of the air waybill shows the statement "as per Watch-List requirements" when a cargo shipment or dangerous goods shipment is offered for air transport under the GCAA Dangerous Goods and Cargo Watch-List Program.
- f) The use of outer opaque shrink wrapping for any air cargo shipment accepted for transport from the United Arab Emirates shall be prohibited. This includes UAE originating and re-export cargo. This prohibition does not include cargo which has been transferred between two air operators within the UAE (known as interline transfer cargo). A transfer can occur between air operators in the same Emirate, or between two different Emirates. UAE Military and Ministry of Interior shipments carried on civilian aircrafts are exempted from this restriction.

5.6 Foreign Air Operator

- 5.6.1 Foreign air operators may carry dangerous goods to, from and via UAE provided the air operator is approved to carry dangerous goods by the Civil Aviation Authority of their State of Registry or the State of the operator or the State of Origin as applicable. It must be taken into account that UAE originating dangerous goods shipments shall only be offered and accepted for air transport by a GCAA dangerous goods certified entity as defined in these Regulations, ICAO TI and IATA DGR.
- **5.6.2** Shall ensure compliance with these Regulations as applicable.
- 5.6.3 The use of outer opaque shrink wrapping for any air cargo shipment accepted for transport from the United Arab Emirates shall be prohibited. This includes UAE originating and re-export cargo. This prohibition does not include cargo which has been transferred between two air operators within the UAE (known as interline transfer cargo). A transfer can occur between air operators in the same Emirate, or between two different Emirates. UAE Military and Ministry of Interior shipments carried on civilian aircrafts are exempted from this restriction.

5.7 Ground Handling Agent (Including Self-Handling Air Operator)

- **5.7.1** Nominate a Dangerous Goods Post Holder (DGPH) in accordance with the requirements of 3.4 of these Regulations.
- **5.7.2** Shall ensure that UAE originating dangerous goods shipments are only offered and accepted for air transport by a GCAA dangerous goods certified entity.
- **5.7.3** Shall ensure that staff involved in the handling, storage and loading of cargo or mail or baggage undergo the appropriate dangerous goods training as required in these Regulations.
- **5.7.4** Shall ensure that passenger handling staff undergo the appropriate dangerous goods training as required in these Regulations, ICAO TI and IATA DGR.
- **5.7.5** Shall maintain dangerous goods training records of all employees and ensure it is available and accessible anytime to GCAA officials.





- **5.7.6** Must conduct a safety risk assessment for the transport of dangerous goods by air and provide the GCAA with a copy of the risk assessment report whenever there is a change in the status of dangerous goods risk assessment, and send it to dangerousgoodsteam@gcaa.gov.ae. The safety risk assessment must take into consideration dangerous goods in cargo, mail and baggage.
- **5.7.7** Shall ensure that all dangerous goods shipments are kept away from inclement weather conditions which includes, but not limited to direct sunlight and excessive heat and humidity during acceptance, storage, handling and loading without causing disruption to the existing acceptance, storage, handling and loading processes in place.
- **5.7.8** Shall maintain records of the foreign air operator's dangerous goods approval or certification issued to them by their State of the operator or the State of Registry and or the State of Origin as applicable to carry dangerous goods aboard the aircraft.
- **5.7.9** Shall establish and maintain records of updated relevant operational procedures or work instructions outlining the process governing the safe transport of dangerous goods by air.
- **5.7.10** Shall maintain records of or have access to updated operational procedures or work instructions of the air operators being handled by them.
- **5.7.11** Shall ensure that the statement "GCAA DGCERT #0000", is clearly incorporated in the 'Handling Information Box' of the Air Waybill when dangerous goods is offered for air transport.
- 5.7.12 The use of outer opaque shrink wrapping for any air cargo shipment accepted for transport from the United Arab Emirates shall be prohibited. This includes UAE originating and re-export cargo. This prohibition does not include cargo which has been transferred between two air operators within the UAE (known as interline transfer cargo). A transfer can occur between air operators in the same Emirate, or between two different Emirates. UAE Military and Ministry of Interior shipments carried on civilian aircrafts are exempted from this restriction.
- 5.7.13 Shall officially notify the UAE General Civil Aviation Authority about the delegation or change in delegation of dangerous goods occurrences reporting responsibilities. If applicable, the notification to GCAA must be made in writing and include name of the Dangerous Goods Post Holder in the entity and or the Authority to whom the mandatory dangerous goods occurrences reporting responsibilities has been delegated to.
- **5.7.14** If applicable, shall ensure that the handling information column of the air waybill shows the statement "as per Watch-List requirements" when a cargo shipment or dangerous goods shipment is offered for air transport under the GCAA Dangerous Goods and Cargo Watch-List Program.
- **5.7.15** Shall ensure to obtain a Licence from Federal Authority for Nuclear Regulation (FANR) prior to handling, storage, and loading of cargo or mail and baggage used to carry Radioactive Material.
- **5.7.16** Shall not accept cargo from entities listed in the current GCAA Blacklist.
- 5.7.17 Shall accept any cargo shipment(s) from any of the entities listed in the GCAA Dangerous Goods & Cargo Watch-List, provided that the shipment is offered for air transport through a GCAA Dangerous Goods Certified Entity.
- **5.7.18** Shall report dangerous goods occurrences as per these Regulations.





5.8 Passengers and Cargo GSA & Passenger and Cargo Reservation Centers

- a) Shall ensure compliance with the provisions outlined in these Regulations as applicable.
- b) Shall ensure that their employees undergo the relevant dangerous goods training commensurate with the functions they are responsible for.

5.9 Packaging Supplier

5.9.1 Shall Nominate a Dangerous Goods Post Holder (DGPH) in accordance with the requirements of 3.4 of these Regulations.

5.9.2 Shall ensure that packaging are supplied in accordance with these Regulations and the requirements of ICAO TI or IATA DGR:

- a) Except where the ICAO Technical Instructions or IATA Dangerous Goods Regulations otherwise provides, shall ensure that the packaging conform in all respects with the design type, including the method of packing, sealing, size and type of any inner packaging which were tested.
- b) Shall ensure that package(s) bear all appropriate markings in accordance with ICAO TI and IATA DGR.
- c) Shall make available all records including package test reports and certificates upon request by the General Civil Aviation Authority. If the test report does not show the characteristics of the tested substance or inner packaging to be used identified by size, type, manufacturer, type of material and quantity such packaging must not be distributed.
- d) Shall ensure that all packaging are manufactured, reconditioned and tested under a quality assurance program that satisfies the General Civil Aviation Authority in order to ensure that each packaging meets the requirements of these Regulations.
- e) Shall ensure that each packaging design type is tested and certified. Their subsequent use must be specified in the applicable test report and conform in all respects with the design type which was tested. A copy of both test report and test certificate must be provided to the package purchase.
- f) Shall ensure that for each packaging type, provide information regarding procedures to be followed, including closure instructions for inner packaging and receptacle, a description of the types and dimensions of closures, including any other components to ensure the packages as presented for transport are capable of passing the applicable performance tests and pressure differential requirements of these Regulations as applicable.
- g) Shall ensure that dedicated storage facilities are maintained to protect dangerous goods packaging from any element that may result in potential physical damage.
- h) Shall maintain and provide a quarterly inventory report to the General Civil Aviation Authority. The report shall contain a complete and detailed inventory of all packaging transactions undertaken which includes the following information as a minimum:
 - i. Packaging type (description of UN Specification Marking);
 - ii. Date of transaction;





- iii. Name and contact of purchaser;
- iv. Quantity imported;
- v. Quantity distributed;
- vi. Any other information required by GCAA;

Note:

The quarterly inventory report should be sent to dangerousgoodsteam@gcaa.gov.ae

5.10 Maintenance and Engineering

- 5.10.1 Shall ensure that staff involved in processing, handling, storage of dangerous goods is trained commensurate with their responsibilities as outlined in these Regulations, ICAO TI and IATA DGR.
- 5.10.2 When performing functions of a shipper, staff shall ensure that dangerous goods are classified, identified, packed, marked, labelled and documented in compliance with these Regulations, ICAO TI and IATA DGR.
- 5.10.3 If appointed an entity to act on their behalf in accepting, processing, handling, loading and storage of dangerous goods, shall ensure that the entity is dangerous goods certified by GCAA.
- 5.10.4 Shall ensure that dangerous goods occurrences are reported to GCAA as outlined in these Regulations.

5.11 Designated Postal Operators (DPO)

- **5.11.1** Shall not offer dangerous goods for air transport unless they are approved by GCAA.
- **5.11.2** Shall ensure that their staff meet the minimum dangerous goods training requirements, commensurate with their responsibilities as outlined in these Regulations, ICAO TI and IATA DGR.
- **5.11.3** Shall ensure that dangerous goods occurrences are reported to GCAA as outlined in these Regulations.
- **5.11.4** Shall ensure to assign a Dangerous Goods Post Holder (DGPH) meeting the requirements and carrying out the responsibilities outlined in these Regulations.

5.12 Security Personnel

- **5.12.1** Shall ensure that security staff who deal with the screening of passengers and crew and their baggage and cargo or mail such as security screeners, their supervisors and staff involved in implementing security procedures are dangerous goods trained commensurate with their responsibilities as outlined in these Regulations.
- **5.12.2** Shall ensure that security staff is aware of the provisions of High Consequence Dangerous Goods, and hidden dangerous goods as outlined in ICAO TI and IATA DGR.





5.12.3 Shall ensure that dangerous goods occurrences are reported to GCAA or the concerned entity within the airport as per the dangerous goods occurrence reporting requirements outlined in these Regulations.

5.13 Helicopter Operator

- **5.13.1** Shall perform any function related to the air transport of dangerous goods in compliance with these Regulations, ICAO TI and IATA DGR.
- **5.13.2** Helicopter operator must nominate a dangerous goods post holder (DGPH), as outlined in these Regulations.





SECTION 6 – INTENTIALLY LEFT BLANK





SECTION 7 – CERTIFICATION PROGRAM





7.1 General

- **7.1.1** UAE originating dangerous goods shipments shall only be offered and accepted for air transport by a GCAA dangerous goods certified entity according to the GCAA dangerous goods certification requirements as defined in these Regulations.
- **7.1.2** Applicant(s) shall complete the online application for each location or branch separately for issuance or renewal of the entity's Dangerous Goods certification using the GCAA website www.gcaa.gov.ae
- **7.1.3** The entity shall ensure to obtain GCAA dangerous goods certification for each location or branch where the dangerous goods are accepted and handled.
- **7.1.4** The entity shall be responsible to renew its dangerous goods certification. The entity shall apply for annual or biennial approval (at the discretion of the entity) at a minimum of two months prior to expiry of their current certificate. The effective date of the renewed certificate shall start from the date following the expiry date of the current certificate.
- **7.1.5** Only entities with valid dangerous goods certificate issued by GCAA shall process, accept, handle dangerous goods and execute the Air Waybill.
- 7.1.6 Any change in the status of the entity's trade license or staff's dangerous goods training or location or approved documents after issuance or renewal of the entity's dangerous goods certificate shall be immediately communicated to the General Civil Aviation Authority no later than ten working days from the date of which the change takes effect. Failure to do so may result in the suspension of the entity's dangerous goods certification.

7.2 Certification Requirements

7.2.1 Freight Forwarder and Cargo Agent

- **7.2.1.1** The Freight Forwarder and Cargo Agent shall:
 - a) Nominate a Dangerous Goods Post Holder (DGPH) in accordance with the requirements of 3.4 of these Regulations.
 - b) retain the current edition of the IATA Dangerous Goods Regulations (manual or electronic version) including any addendums, which must be accessible to all trained personnel.
 - c) dedicate dangerous goods storage area inside its warehouse facility with appropriate signage including a dedicated area for damaged dangerous goods and dangerous goods held for investigation. These areas must be properly marked, if applicable. The warehouse must be approved by the concerned local Authority(ies), such as but not limited to Civil Defense, Municipality, Free zone...etc.
 - d) submit comprehensive Standard Operating Procedures (SOPs) describing the complete dangerous goods acceptance and handling procedures.





- e) submit Dangerous Goods training certificates for two staff meeting the minimum regulatory training requirements as defined in these regulations, as a minimum for each location or branch accepting or processing dangerous goods in addition to a copy of their residence visa showing that they are sponsored by the same entity and branch. The training must be undertaken by one of the General Civil Aviation Authority's approved or recognized training provider(s) as defined in Subsection 4.2 of these regulations.
- f) at the time of submission of application, the Dangerous Goods training certificates must be valid for a minimum period of three months.
- g) submit a valid copy of entity's trade license issued in the United Arab Emirates.
- h) pay applicable annual fee for the issuance of initial and renewal of certification, taking into account that as per GCAA policy the payment is non-refundable.
- i) be responsible to renew its dangerous goods certification. The entity shall apply for annual or biennial approval (at the discretion of the entity) at a minimum of two months prior to expiry of their current certificate. The effective date of the renewed certificate shall start from the date following the expiry date of the current certificate.

7.2.2 UAE Registered Air Operator – Fixed Wing Aircrafts

The Air Operator shall;

- a) Nominate a Dangerous Goods Post Holder (DGPH) in accordance with the requirements of 3.4 of these Regulations.
 - b) retain the current edition of the IATA Dangerous Goods Regulations (manual or electronic version) where the dangerous goods are accepted or handled. The DGR must be accessible to all dangerous goods trained personnel who have received the dangerous goods training in respective function which commensurate to their responsibilities.
 - c) dedicate dangerous goods storage area inside its warehouse facility with appropriate signage including a dedicated area for damaged dangerous goods and dangerous goods held for investigation. These areas must be properly marked. GCAA shall accept entities' facilities using an automated storage system. The warehouse must be approved by the concerned local Authority(ies), such as but not limited to Civil Defense, Municipality, Free zone...etc.
 - d) submit comprehensive Standard Operating Procedures (SOPs) describing the entire dangerous goods processes and procedures.
 - e) submit Dangerous Goods training certificates for four staff meeting the minimum regulatory training requirements as defined in these regulations as a minimum, in addition to a copy of their residence visa sponsored by the same entity. The training must be undertaken by one of the General Civil Aviation Authority's approved or recognized training provider(s), as defined in Subsection 4.2 of these regulations.
 - f) at the time of submission of application, the applicable Dangerous Goods training certificate(s) must be valid for a minimum period of three months.
 - g) possess valid Air Operator Certificate (AOC) at the time of submitting the application for issuance or renewal of dangerous goods certificate.





- h) submit a valid copy of entity's trade license issued in the United Arab Emirates.
- i) pay applicable annual fee for the issuance of initial and renewal of certification, taking into account that as per GCAA policy the payment is non-refundable.
- j) the air operator shall be responsible to renew its dangerous goods certification. The entity shall apply for annual or biennial approval (at the discretion of the entity) at a minimum of two months prior to expiry of their current certificate. The effective date of the renewed certificate shall start from the date following the expiry date of the current certificate.

7.2.3 Helicopter Operator

The Helicopter Operator shall;

- a) Nominate a Dangerous Goods Post Holder (DGPH) in accordance with the requirements of 3.4 of these Regulations.
 - b) retain the current edition of the IATA Dangerous Goods Regulations (manual or electronic version) including any addendums, which must be accessible to all trained personnel.
 - c) submit comprehensive Standard Operating Procedures (SOPs) describing the entire dangerous goods processes and procedures.
 - d) submit Dangerous Goods training certificates for two staff meeting the minimum regulatory training requirements as defined in these regulations as a minimum, in addition to a copy of their residence visa sponsored by the same entity. The training must be undertaken by one of the General Civil Aviation Authority's approved or recognized training provider(s), as defined in Subsection 4.2 of these regulations.
 - e) at the time of submission of application, the Dangerous Goods training certificates must be valid for a minimum period of three months.
 - f) submit a valid copy of entity's trade license issued in the United Arab Emirates.
 - g) pay applicable annual fee for the issuance of initial and renewal of certification, considering that as per GCAA policy the payment is non-refundable.
 - h) the helicopter operator shall be responsible to renew its dangerous goods certification. The entity shall apply for annual or biennial approval (at the discretion of the entity) at a minimum of two months prior to expiry of their current certificate. The effective date of the renewed certificate shall start from the date following the expiry date of the current certificate.

7.2.4 Ground Handling Agent

The Ground Handling Agent shall;

- a) Nominate a Dangerous Goods Post Holder (DGPH) in accordance with the requirements of 3.4 of these Regulations.
 - b) retain the current edition of the IATA Dangerous Goods Regulations (manual or electronic version) where the dangerous goods are accepted or handled. The DGR must be accessible to all dangerous goods trained personnel who have received the dangerous goods training in respective function(s) which commensurate to their responsibilities.





- c) dedicate dangerous goods storage area inside its warehouse facility with appropriate signage including a dedicated area for damaged dangerous goods and dangerous goods held for investigation. These areas must be properly marked. GCAA shall accept entities' facilities using an automated storage system. The warehouse must be approved by the concerned local Authority(ies), such as but not limited to Civil Defense, Municipality, Free zone...etc.
- d) submit comprehensive Standard Operating Procedures (SOPs) describing the entire dangerous goods processes and procedures.
- e) submit Dangerous Goods training certificates for four staff meeting the minimum regulatory training requirements as defined in these regulations as a minimum, in addition to a copy of their residence visa sponsored by the same entity. The training must be undertaken by one of the General Civil Aviation Authority's approved or recognized training provider(s), as defined in Subsection 4.2 of these regulations.
- f) at the time of submission of application, the applicable Dangerous Goods training certificates must be valid for a minimum period of three months.
- g) submit a valid copy of entity's trade license issued in the United Arab Emirates.
- h) pay applicable annual fee for the issuance of initial and renewal of certification, taking into account that as per GCAA policy, the payment is non-refundable.
- i) be responsible to renew its dangerous goods certification. The entity shall apply for annual or biennial approval (at the discretion of the entity) at a minimum of two months prior to expiry of their current certificate. The effective date of the renewed certificate shall start from the date following the expiry date of the current certificate.

7.2.5 Training Provider(s)

7.2.5.1 Delivering Classroom Based Training

- **7.2.5.1.1** Classroom based training provider certification shall include:
 - a) review and approval of Dangerous Goods training material of all functions of personnel as defined in Tables given in these Regulations.
 - b) assessment of the training facility to ensure conducive learning environment.
 - c) the training facility must be physically located in the United Arab Emirates.
 - d) before applying for GCAA approval, the training provider must obtain the required approvals from the concerned Authorities within the Emirate in which their training facility is located.
- 7.2.5.1.2 The ONLINE-APPLICATION | Following are the requirements that must be adhered to at the time of submission of the online application;
 - a) attach a copy of the security clearance issued to the training provider by GCAA.
 - b) attach a copy of the instructor curriculum vitae





- c) attach a copy of valid UAE residence visa of instructor(s) sponsored by the same training provider.
- d) attach training records and the necessary supporting documents for the instructor to meeting the requirements of Subsection 4.9 of these regulations.
- e) attach a copy of valid training provider trade license issued in the United Arab Emirates.
- f) As much as practicable, attach a copy of training material for each function (soft copy) which shall include lesson plan, PowerPoint presentations, handouts, workbooks and classroom exercises with answers.
- g) attach three separate examination papers for each dangerous goods training function (soft copy) showing all answers and associated marks awarded for each question.
- h) attach a copy of the annual tentative training schedule.
- i) As much as practicable, also submit on a USB the training material (soft copies) which shall include lesson plan, audio/visual aids, PowerPoint presentations, handouts, workbooks, classroom exercises with answers and set of three examination papers with answers for each function of personnel.

Notes:

- 1- To facilitate the approval/certification process, upon submission of the online application, the training provider(s) shall be approached by the principle GCAA official, to agree on the number of days, date and time on which the onsite visit shall take place at the training provider(s) facility.
- 2- Training materials or other related documents referred to in Subsection 7.2.5.1.2 of these regulations and which cannot be uploaded into the online application due to size of the document(s), will be reviewed by the principle GCAA official during the onsite visit.

7.2.5.2 Delivering Computer Based Training (CBT)

The Training Provider that intends to provide the Dangerous Goods CBT courses shall:

- a) obtain GCAA approval of CBT through GCAA dangerous goods online certification system
- b) provide a yearlong access to GCAA to courseware for evaluation and approval. Where
 access is not available, courseware shall be provided on USB or using an electronic file
 sharing option.
- c) where applicable, submit a copy of contract or Memorandum of Understanding (MoU) between the CBT user and CBT provider.

7.2.5.3 Delivering Safety and Emergency Procedures (SEP)

a) Training provider(s) delivering dangerous goods training as integral part of the Safety and Emergency Procedures (SEP) training for both Flight Crew and Cabin Crew shall comply with the provisions of Subsection 4.4, as outlined in these regulations. They must obtain GCAA approval through GCAA dangerous goods online certification system.





- b) Only the General Civil Aviation Authority approved dangerous goods training material, examinations/assessments shall be used throughout the SEP training course(s).
- c) The current edition of the ICAO TI or IATA DGR, must be used during the training sessions.

7.2.5.4 Certification Validity

The validity of dangerous goods training provider certificate shall be twelve months from the date on which the certificate is issued by GCAA, and not from the date of submission of the online application by the training provider.

7.2.6 Packaging Supplier

The Packaging Supplier shall:

- a) Nominate a Dangerous Goods Post Holder (DGPH) in accordance with the requirements of 3.4 of these Regulations.
 - b) retain the current edition of the IATA Dangerous Goods Regulations (manual or electronic version) and any addendums, which must be accessible to all trained personnel.
 - c) submit comprehensive Standard Operating Procedures (SOPs) describing the entire dangerous goods packaging supplier procurement and distribution procedures.
 - d) submit and maintain training certificates of two staff meeting the minimum regulatory training requirements as defined in these regulations as a minimum, in addition to a copy of their residence visa sponsored by the same entity. The training must be undertaken by one of the General Civil Aviation Authority's approved or recognized training provider(s), as defined in Subsection 4.2 of these regulations.
 - e) at the time of submission of the application, the dangerous goods training certificates shall be valid for a minimum period of three months. The training must be undertaken by one of the GCAA approved training providers or directly from ICAO or IATA Training and Development Institute (ITDI).
 - f) submit a valid copy of entity's trade license issued in the United Arab Emirates.
 - g) pay applicable annual fee for the issuance of initial and renewal of certification taking into account that as per GCAA policy, the payment is non-refundable.
 - h) the packaging supplier shall be responsible to renew its dangerous goods certification. The entity shall apply for annual or biennial approval (at the discretion of the entity) at a minimum of two months prior to expiry of their current certificate. The effective date of the renewed certificate shall start from the date following the expiry date of the current certificate.

7.3 Validity and Extension of Certification

- All entities must ensure to start the process of renewal of their dangerous goods certificate as a minimum two months prior to the expiry date shown on the certificate issued by GCAA. The effective date of the renewed certificate shall start from the date following the expiry date of the current certificate.
- **7.3.2** Extension of the dangerous goods certificate shall not be granted under any circumstances.





SECTION 8 - OVERSIGHT RESPONSIBILITIES





8.1 Scope

The UAE General Civil Aviation Authority is committed to ensure that the management of the safe transport of dangerous goods by air is consistent with the requirements contained in the Convention on International Civil Aviation, the resolution of safety issues and the enforcement of Regulations through the conduct of Dangerous Goods Oversight activities on entities defined herein these Regulations.

The competency of GCAA officials involved in oversight activities is established and maintained through initial and continuation of training which is supported by regular competence assessments.

8.2 The Conduct of Dangerous Goods Oversight Activities

GCAA conducts scheduled and non-scheduled dangerous goods oversight activities (Audits and Inspections) using a performance based dangerous goods oversight process to deliver oversight in a proportionate manner. This process brings together GCAA officials from across the Authority in order to gather collective intelligence supported by relevant dangerous goods safety data analysis. Through internal review meetings, GCAA is able to capture and agree its view of dangerous goods safety hazards in the oversighted entities. This enables decisions to be made to vary planned oversight according to compliance, performance and hazard.

8.2.1 Scheduling Oversight Activities

- **8.2.1.1** Dangerous goods safety oversight forms the part of the safety regulatory process dedicated to ensuring that applicable dangerous goods safety regulatory requirements are met, and the monitoring of the safe provision of services by means of audit and inspection.
- **8.2.1.2** GCAA schedule its annual dangerous goods oversight plan taking into consideration the following factors;
 - a) Verifying compliance and ensuring implementation of the Regulations
 - b) Issuance and Renewal of dangerous goods certification
 - c) Follow up audits (inspection)
 - d) Historical background of an entity
 - e) Outcome of dangerous goods occurrences investigation
 - f) Controlling ongoing malpractices
 - g) Addressing safety concerns
 - h) Cooperation between the States
 - Supporting ICAO initiatives
 - j) Providing technical support
- **8.2.1.3** The scheduled dangerous goods oversight plan shall be implemented at the discretion of GCAA with or without notice.





8.2.2 Identification and Resolution of Dangerous Goods Safety Deficiencies

The objective of identification of dangerous goods safety deficiencies is to agree on proposed corrective measures and an action plan that adequately addresses the deficiencies that led to the contravention and to afford the entity a reasonable time to implement them.

This approach aims to nurture and sustain effective dangerous goods safety reporting, whereby the entities' employees can report dangerous goods safety deficiencies and hazards without fear of punitive action.

The entity can therefore, without apportioning blame and without fear of enforcement action, analyses the occurrence or the deficiency and the contributing factors that may have led to it, in order to incorporate remedial measures that will best help prevent recurrence in future.

8.2.3 Level Findings & Observations

Level	Definition	Description	Rectification Timeframe
Level-1	"Level-1 finding" is any non-compliance with the Regulations, which could also result in dangerous goods accident as defined in these Regulations.	Level-1 finding is considered to be the most serious and significant non-compliance with GCAA dangerous goods regulatory requirements in particular, where it is seen that such non-conformity have a direct impact to operational safety, the safety of the aircraft and its occupants.	Level-1 finding shall require immediate rectification within 24 hours from the time it has been communicated by GCAA to the entity
Level-2	"Level-2 finding" is any non- compliance with GCAA Regulations, which is not classified as level-1, and which could result in dangerous goods incident as defined in these Regulations, occasions of undeclared and misdeclared dangerous goods	Level-2 finding is considered to be a non-compliance with GCAA dangerous goods regulatory requirements in particular, where it is seen that such non-conformity has indirect impact to operational safety, the safety of the aircraft and its occupants.	Level-2 finding shall be rectified within two weeks from the date of which outcome of the audit or inspection has been communicated by GCAA.
Level-3	"Level-3 Observation" is any identified potential problems that could lead to possible noncompliance.	Level 3 observation means any non-compliance with the dangerous goods regulatory requirements other than those of Level 1 and Level 2	Level-3 observation shall be rectified at the discretion of GCAA.





8.2.4 Self-Assessment

8.2.4.1 Intending to facilitate entities' operations, reducing the efforts and time spent in conducting the oversight activities, and in order to improve the joint collaboration and transparency in communication between GCAA and Stakeholders, the UAE General Civil Aviation Authority has introduced the Dangerous Goods Self-Assessment program.

The dangerous goods Self-Assessment program has been designed to measure the readiness of an entity to comply with the Civil Aviation Regulations (CAR) Part VI, Chapter 2 Transport of Dangerous Goods by Air.

It is at the discretion of GCAA to select entities for Self-Assessment.

Note:

Self-Assessment applies to renewal of certification only.

- **8.2.4.2** Self-Assessment essentially means that the entity shall answer the questions in the Self-Assessment Checklist to assess their overall level of compliance and implementation of the dangerous goods regulatory requirements. GCAA uses the results of the Entity's completed Self-Assessment to assess the entity's overall level of compliance with these Regulations, ICAO TI and IATA DGR. GCAA also uses the results of the Entity's completed Self-Assessment to priorities its annual oversight activities.
- **8.2.4.3** GCAA also reviews and validates the entity's Self–Assessment to determine the current level of efficient implementation of these Regulations by the entity. GCAA will periodically revise the questions of the Self-Assessment Checklist in order to reflect amendments made to the referenced documents.
- **8.2.4.4** Self-Assessment does not mean that the entity shall not be subject to oversight visits by GCAA officials.





SECTION 9 - REPORTING OF DANGEROUS GOODS OCCURRENCES





9.1 Mandatory Occurrence Reporting

- 9.1.1 All Entities shall report to the UAE General Civil Aviation Authority;
 - a) dangerous goods accidents and dangerous goods incidents;
 - b) occasions when undeclared or misdeclared dangerous goods are discovered in cargo or mail.
 - c) occasions when dangerous goods not permitted to be carried by passengers or crew are discovered by the operator, or the operator is advised by the entity that discovers the dangerous goods, either in the baggage or on the person, of passengers or crew members.
 - d) occurrences related to Radioactive Materials "Class 7". Such occurrences shall be also reported to the UAE Federal Authority for Nuclear Regulation (FANR) at www.fanr.gov.ae

The report shall be submitted within 72 hours of the occurrence, unless exceptional circumstances prevent this, using the Online Reporting of Dangerous Goods Occurrence & Investigation (RODGO) system. The initial report shall be made by any means but the RODGO shall be sent as soon as possible, even if not all the information is available.

In case of RODGO is inaccessible due to exceptional circumstances or unforeseen reasons, the initial manual report shall be dispatched within 72 hours of the occurrence, unless exceptional circumstances prevent this. The initial report may be made by any means but a written report should be sent as soon as possible, even if not all the information is available. (See Occurrence report form in Appendix-1 of these Regulations.

Manually completed reports shall be sent to <u>rodgo.investigation@gcaa.gov.ae</u>

9.1.2 Any entity that delegates the mandatory dangerous goods occurrences' reporting responsibilities, shall officially notify the UAE General Civil Aviation Authority of such delegation. The notification to GCAA must be made in writing and include name of the Dangerous Goods Post Holder in the entity and or the Authority in which the mandatory dangerous goods occurrences reporting responsibilities have been delegated to.

9.2 Voluntary Reporting

All entities are encouraged to voluntary report dangerous goods occurrences not captured by the mandatory reporting system using the RODGO application and or the rodgo.investigation@gcaa.gov.ae mailbox.

9.3 The Online Reporting of Dangerous Goods Occurrences & Investigation (RODGO) System

9.3.1 Purpose of RODGO System

- 9.3.1.1 The purpose of RODGO system is to provide the industry and all entities with online tool to report dangerous goods occurrences to enable the collection of information on Dangerous Goods Occurrences in a structured and harmonized manner. This shall allow for the analysis of information, leading to:
 - a) identification of hazards.





- b) monitoring of trends and patterns in dangerous goods operations.
- c) analysis of root causes and other contributing factors resulted in the occurrence.
- 9.3.1.2 Based on data provided, GCAA can also;
 - a) measure the effectiveness of the current procedures;
 - b) identify gaps in current Regulations and national programs;
 - c) define priorities in policy;
 - d) establish updates of corrective measures, including policy changes, where necessary;
 - e) share relevant information with States and other entities; and
 - f) initiate investigation

9.3.2 Access to RODGO

- **9.3.2.1** The request to access RODGO system shall be sent to GCAA at rodgo.investigation@gcaa.gov.ae OR dangerousgoodsteam@gcaa.gov.ae
- **9.3.2.2** The mandatory dangerous goods occurrences reporting requirement using RODGO must be duly incorporated into the Standard Operating Procedures or relevant Operations' manuals of all entities.





SECTION 10 - DANGEROUS GOODS OCCURRENCES INVESTIGATION





10.1 General

10.1.1 The UAE General Civil Aviation Authority shall investigate;

- a) dangerous goods accidents and incidents;
- b) undeclared and misdeclared dangerous goods in cargo, mail or baggage;
- c) a dangerous goods accident or dangerous goods incident which may also constitute an aircraft accident or incident as defined in Annex 13— Aircraft Accident and Incident Investigation.
- d) other dangerous goods safety issues.

10.2 Contributing Factors

The scope and complexity of the dangerous goods occurrence investigation may be influenced by but not limited to the following factors;

- a) the severity or potential severity of the outcome;
- b) regulatory or organizational requirements to carry out an investigation;
- c) safety value to be gained;
- d) opportunity for safety action to be taken; and
- e) identified trends;

10.3 Gathering and Analysis of Information

The UAE GCAA is taking an integrated approach to the collection of dangerous goods safety data that come from a myriad of resources, both internal and external. Such integration enables GCAA to get a more accurate view of the dangerous goods safety hazards, and achieve GCAA safety objectives. Dangerous goods safety data and information that initially seems to be unrelated, may later turn out to be critical for identifying safety issues and supporting data-driven decision-making. It is therefore, GCAA has streamlined the data collection and processing through the establishment of the online Reporting of Dangerous Goods Occurrences & Investigation system (RODGO).

10.4 Identification of Hazards

10.4.1 Hazard identification is based on a combination of the following two methodologies:

- a) Reactive: This methodology involves analysis of past outcomes or events. Hazards are identified through investigation of dangerous goods safety occurrences. Incidents and accidents are an indication of system deficiencies and therefore, can be used to determine which hazard(s) contributed to the event. Analyzing the hazards identified during an incident or accident investigations is an example of a reactive methodology.
- b) **Proactive**: This methodology involves collecting dangerous goods safety data of lower consequence events or process performance and analyzing the dangerous goods safety





information or frequency of occurrence to determine if a hazard could lead to an accident or incident. Hazards identified during audits or inspections, or from mandatory reports are examples of a proactive methodology. An important mechanism for proactive hazard identification is a voluntary safety reporting system.

10.4.2 GCAA aim is to identify hazards and the associated risks before they lead to an occurrence involving dangerous goods.

Examples of sources for hazard identification include:

- a) investigation reports; reviewing or in-depth studying investigation reports can identify hazards, especially those which are deemed to be indirect contributing factors and which may not have been adequately addressed by corrective actions implemented as a result of previous investigations.
- b) mandatory and voluntary reporting systems;
- c) audits and external audits can sometimes identify hazards. These may be documented as an unidentified hazard or captured less obviously within an audit finding.
- d) trade associations and information exchange systems; many trade associations and industry groups are able to share safety data that may include identified hazards.

10.5 Dangerous Goods Held for Investigation

- **10.5.1** As integral part of the investigation process, GCAA may request for the dangerous goods involved in the occurrence to be held for investigation purposes.
- 10.5.2 Dangerous Goods held for investigation by GCAA shall not be released under any circumstances unless the release is authorized by GCAA. Dangerous goods for life saving, time sensitive cargo and medical or operational purposes shipments may be released at the discretion of the operator subject to compliance with the Dangerous Goods regulatory requirements.
- **10.5.3** A Notice "Dangerous Goods Held for Investigation by GCAA" must be prominently affixed on any cargo package, courier bag, mail parcel and or passenger baggage held for investigation by GCAA.

10.6 No Objection Letter

- **10.6.1** Issuance of no objection letter by Local Authorities to release a "*Dangerous Goods Held for Investigation by GCAA*" must be shared with GCAA, before releasing the cargo package, courier bag, mail parcel and or passenger baggage, where the following must be taken into consideration prior to issuance of the no objection letter;
 - a) the conduct of physical inspection on the cargo package, courier bag, mail parcel and or passenger baggage shall be made by or in presence of a dangerous goods trained personnel.
 - b) ensure that the Safety Data Sheet (SDS) or any other relevant document is issued by the manufacturer or recognized technical body.
 - c) review copy of the Master Air Waybill (if applicable).





- d) review invoice and Packing list.
- e) ensure to receive undertaking from the concerned entity using the entity's letterhead, stating that the actual content of the cargo package, courier bag, mail parcel or passenger baggage doesn't contain dangerous goods.

10.7 Corrective and Preventive Action (CAPA)

- **10.7.1** Entities involved in dangerous goods occurrences must without delay submit their CAPA to GCAA considering the following;
 - a) using the entity's letterhead.
 - b) the CAPA details the sequence of events, addresses the seriousness of the occurrence, implementable and includes a method or a process for implementation and compliance monitoring within a specified timeframe.
 - c) the CAPA is submitted in a PDF or system auto generated format.
 - d) a Senior Manager of the entity endorses the CAPA.
- **10.7.2** The delay in submitting the Corrective and Preventive Action may result in legal adverse consequences.

10.8 Closing the Investigation

- **10.8.1** GCAA shall close the investigation upon identification of the root cause and contributing factors resulted in the dangerous goods occurrence.
- **10.8.2** The specified timeframe by GCAA to close the dangerous goods investigation is one month, unless exceptional circumstances prevail.





SECTION 11 - REGULATORY ACTIONS





11.1 Suspension and Revocation of Dangerous Goods Certification

The Regulatory Action Chart describes the General Civil Aviation Authority regulatory actions that shall apply in case of any of the described eventualities. As a result, the adverse consequences may result in suspension and or revocation of the entity's dangerous goods certificate.

DANGEROUS GOODS REGULATORY ACTION CHART					
Description	Regulatory Action	Regulatory Action Minimum Timeframe			
Dangerous Goods Accident:					
An occurrence associated with and related to the transport of dangerous goods by air, not necessarily occurring on board an aircraft, which					
results in fatal or serious injury to a person or major property or	Revocation	*			
environmental damage	or				
Note - A dangerous goods accident may also constitute an aircraft accident as defined in Annex 13—Aircraft Accident and Incident Investigation.	Suspension	*3 to 6 Months			
Dangerous Goods Incident:					
An occurrence, other than a dangerous goods accident, associated with and related to the transport of dangerous goods by air, not necessarily occurring on board an aircraft where:					
a) a person is injured;	Revocation	*			
b) there is property or environmental damage;	or				
c) there is fire, breakage, spillage, leakage of contents or radiation or there is other evidence that the integrity of the packaging has not been maintained; or	Suspension	*3 Months			
d) any occurrence relating to the transport of dangerous goods which seriously jeopardizes the aircraft or its occupants.					





Note – A dangerous goods incident may also constitute an aircraft incident as defined in Annex 13 — Aircraft Accident and Incident Investigation. Non-Compliance with the UAE Civil Aviation Law Non-compliance with the GCAA Civil Aviation Regulations (CAR Part VI) Non-adherence to GCAA Audit or Inspection findings and the given timeframe for rectification and compliance Misuse the GCAA dangerous goods certification Non-compliance with GCAA dangerous goods certification requirements.	Revocation or Suspension	* *3 Months
------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------	--------------------------------	-------------

^{*}At the Discretion of the General Civil Aviation Authority

11.2 Blacklisting

At its descrition, the UAE General Civil Aviation Authority may decide to blacklist an entity if GCAA identified non-compliance with the applicable dangerous goods regulatory requirements as outlined in these regulations.

11.3 GCAA Dangerous Goods & Cargo Watch-List Program

Scope

The aim of the UAE General Civil Aviation Authority's Dangerous Goods & Cargo Watch-List program is to;

- a) help this Authority on its efforts to prevent recurrence of dangerous goods occurrences;
- b) encourage all entities to ensure accurate and correct declaration of cargo and dangerous goods.
- assist GCAA to improve its overall control on air transportation of cargo and dangerous goods through continuous oversight and occurrences investigation to safeguard Civil Aviation operations in the United Arab Emirates against willful misconduct.





11.3.1. The Criteria for Inclusion into the Watch-List

The following "**Stages 1,2 and 3**" outline the criteria which shall be applied for the decision making process on those Entities which have been identified during the conduct of dangerous goods occurrence investigation or oversight activities, whereby, official action is required for the purpose of inclusion into the GCAA Dangerous Goods and Cargo Watch-List.

At the discretion of GCAA and subject to severity of the dangerous goods occurrence, the GCAA may not follow the sequence of stages, consequently may directly issue a Watch-List Stage-2 or Stage-3 letter or include the concerned Entity into the GCAA Dangerous Goods and Cargo Watch-List; or may decide to take other legal action.

1.3.1.1. Watch-List Criteria, Stage-1 | First Dangerous Goods Occurrence(s)

- **11.3.1.1.1** Upon completion of the dangerous goods occurrence investigation, the concerned entity;
 - a) shall receive Official Notification of Non-Compliance with GCAA Civil Aviation Regulations (CAR Part VI) – Transport of Dangerous Goods by air (Watch-List, Stage-1 Letter), addressed to the Senior Management of the concerned Entity.
 - b) shall acknowledge receipt of the GCAA Official Notification of Non Compliance with GCAA Civil Aviation Regulations by means of official letter endorsed by senior management personnel and addressed to dangerousgoodsteam@gcaa.gov.ae
- **11.3.1.1.2** The Dangerous Goods Certificate issued to the Entity by GCAA may be suspended or revoked at the discretion of GCAA.

Note:

The validity of the "Watch-List, Stage- 1" letter is 36 months. This means, the letter shall be withdrawn/cancelled automatically if the Entity doesn't get involved in any dangerous goods occurrence within 36 months, from the date on which the "Watch-List, Stage- 1" letter was issued.

- 11.3.1.2. Watch-List Criteria, Stage-2 | Second Dangerous Goods Occurrence(s) within 36 months from the date on which stage-1 letter was issued
- **11.3.1.2.1** Upon completion of the dangerous goods occurrence investigation, the concerned entity;
 - a) shall receive Official Notification of Non Compliance with GCAA Civil Aviation Regulations (CAR Part VI – Transport of Dangerous Goods by air (Watch-List, Stage-2 Letter), addressed to the Senior Management of the concerned Entity, to alert them about the seriousness of the situation and that recurrence of dangerous goods occurrence shall result in adding the Entity into the GCAA Watch-List.
 - b) shall acknowledge receipt of the GCAA Official Notification of Non-Compliance with GCAA Civil Aviation Regulations by means of official letter endorsed by senior management personnel and addressed to dangerousgoodsteam@gcaa.gov.ae
- **11.3.1.2.2** The Dangerous Goods Certificate issued to the Entity by GCAA may be suspended or revoked at the discretion of GCAA. *Note:*

The applicability of the "Watch-List, Stage-2" letter depends on the date on which Stage-1 letter was issued within the cycle of 36 months. This means, the letter shall be withdrawn/





cancelled automatically if the Entity doesn't get involved in any dangerous goods occurrence within 36 months, from the date on which the "Watch-List, Stage-1" letter was issued.

- 11.3.1.3 Watch-List Criteria, Stage-3 | Third Dangerous Goods Occurrence(s) within 36 months from the date on which the stage-1 letter was issued
- **11.3.1.3.1** Upon completion of the dangerous goods occurrence investigation, the concerned entity;
 - a) shall receive Official Notification of Non-Compliance with GCAA Civil Aviation Regulations (CAR Part VI) – Transport of Dangerous Goods by air (Watch-List, Stage-3 Letter), addressed to the Senior Management of the concerned Entity, to inform them about the inclusion of their entity's name into the GCAA Watch-List.
 - b) shall comply with the Watch-List process and acknowledge receipt of the GCAA Official Notification of Non-Compliance with GCAA Civil Aviation Regulations by means of official letter endorsed by senior management personnel and addressed to dangerousgoodsteam@gcaa.gov.ae.
- **11.3.1.3.2** The Dangerous Goods Certificate issued to the Entity by GCAA may be suspended or revoked at the discretion of GCAA.

Note:

The applicability of the "Watch-List, Stage-3" letter depends on the date on which Stage-1 letter was issued within the cycle of 36 months. This means, the letter shall be withdrawn/cancelled automatically upon removing the entity from the Watch-List as outlined in Subsection 11.3.3 of these Regulations.

11.3.2 The Watch-List Process

- **11.3.2.1** Entities listed in the GCAA Dangerous Goods & Cargo Watch-List shall comply with this process.
- 11.3.2.2 All UAE Airports, Ground Handling Agents, Air Operators shall accept any cargo shipment(s) from any of the entities listed in the GCAA Dangerous Goods & Cargo Watch-List, provided that the shipment is offered for air transport through a GCAA Dangerous Goods Certified Entity.
- The GCAA dangerous goods certified entity who decides to accept dangerous goods or cargo from any of the entities listed in the GCAA Dangerous Goods & Cargo Watch-List shall ensure that the dangerous goods or cargo they agreed to accept from the entity in the Watch-List has undergone the following process before tendering the shipment for acceptance at the airport;
 - a) The shipment has undergone 100% physical Inspection either by or under the supervision of personal who are dangerous goods trained according to the appropriate training function which enable them to conduct such inspection.
 - b) Records of such physical inspection and proof of training for the personnel who has supervised or conducted the physical inspection must be retained in file for a minimum period of thirty-six months for GCAA Oversight or Investigation purposes.





- c) Undeclared or mis-declared dangerous goods discovered during implementation of the 100% physical inspection must be reported to the General Civil Aviation Authority at RODGO.Investigation@gcaa.gov.ae
- 11.3.2.4 If acceptable and at the discretion of the GCAA Dangerous Goods Certified Entity, they may delegate the 100% physical inspection process to the entity listed in the Watch-List provided that the latter has complied with the following;
 - a) the shipment has undergone 100% physical Inspection either by or under the supervision of personal who are dangerous goods trained according to the appropriate training function which enable them to conduct such inspection.
 - records of such physical inspection and proof of training for the personnel supervised or conducted the physical inspection must be retained on file at the listed entity for a minimum period of 36 months.
 - c) undeclared or misdeclared dangerous goods discovered during implementation of the 100% physical inspection must be reported to the General Civil Aviation Authority at RODGO.Investigation@gcaa.gov.ae
 - d) an undertaking must be issued by the entity listed in the GCAA Dangerous Goods & Cargo Watch-List using entity's official letter. The undertaking must be addressed to the GCAA Dangerous Goods Certified Entity (the Freight Forwarder or Cargo Agency) who agreed to receive or accept the cargo consignment from the entity listed in the Watch-List. The undertaking must contain the following information as a minimum;
 - i. details of the shipment (Shipper, Consignee, AWB number, Total number of pieces, weight and accurate commodity information).
 - ii. details of the process implemented to conduct the physical inspection.
 - iii. name and contact details of the dangerous goods trained personnel who conducted or supervised the physical inspection.
 - iv. information about any undeclared or misdeclared dangerous goods discovered during the physical inspection (if any).
 - v. endorsed by a Senior Management personnel.
 - vi. must include the legal statement;

"We (the entity name) hereby confirm that the originator of the freight is known to us and we are satisfied and fully responsible that the 100% physical inspection conducted on this shipment is evident that the package(s) is airworthy and that the contents are as stated in the Shipper's Letter of Instructions for Issuing Air Waybill (SLI) or as stated in the Information for Declaration of Goods (IDG) form, and that this shipment does not contain any undeclared or misdeclared dangerous goods. Any legal adverse consequences resulted from incorrect declaration of goods will be our sole responsibility (name of the senior management personnel, designation and his/her 24/7 serviceable contact number)."





- e) The entity listed in the Watch-List must submit the undertaking to the GCAA Dangerous Goods Certified entity, where the latter shall ensure that either the original or a copy of the said undertaking is attached to the transport documents while tendering the shipment to the concerned Air Operator or the Ground Handling Agent for acceptance.
- 11.3.2.5 The Handling Information Column of the Air Waybill must show the statement; "This shipment has been offered and accepted for air transport in compliance with the UAE GCAA Dangerous Goods and Cargo Watch-List program".
- 11.3.2.6 The 100% physical inspection referred to in this process is an additional measure to improve operational safety and security, and shall not replace cargo screening at UAE Airports, hence Cargo Screening shall continue as per the Airport Operator's current processes and procedures.
- **11.3.2.7** Entities listed in the GCAA Dangerous Goods & Cargo Watch-List shall fully meet the provisions of the Watch-List process.
- 11.3.2.8 Physical Inspection means the inner and outer package(s) is physically inspected to ensure that the package is airworthy and to ensure it does not contain undeclared or misdeclared dangerous goods.

11.3.3 The Watch-List Regulatory Action Chart

REGULATORY ACTION CHART - GCAA DANGEROUS GOODS & CARGO WATCH-LIST PROGRAM			
Description	Regulatory Action	Minimum Period to Remain in the Watch-List	
Dangerous Goods Accident:			
An occurrence associated with and related to the transport of dangerous goods by air, not necessarily occurring on board an aircraft, which results in fatal or serious injury to a person or major property or environmental damage.	*Inclusion into the Watch-List	*6 Months	
Note. — A dangerous goods accident may also constitute an aircraft incident as defined in Annex 13 — Aircraft Accident and Incident Investigation.			
Dangerous Goods Incident:			
An occurrence, other than a dangerous goods accident, associated with and related to the transport of dangerous goods by air,	*Inclusion into the Watch-List	*6 Months	





not necessarily occurring on board an aircraft		
where:		
a) a person is injured;		
b) there is property or environmental damage;		
 c) there is fire, breakage, spillage, leakage of contents or radiation or there is other evidence that the integrity of the packaging has not been maintained; or 		
d) any occurrence relating to the transport of dangerous goods which seriously jeopardizes the aircraft or its occupants.		
Note - A dangerous goods incident may also constitute an aircraft incident as defined in Annex 13 — Aircraft Accident and Incident Investigation.		
Non GCAA Dangerous Goods Certified Entity performing any function related to the transport of dangerous goods by air. This shall include but not limited to being involved in a dangerous goods accident, incident, undeclared and misdeclared dangerous goods.	*Inclusion into the Watch-List	*6 Months
Non-Compliance with the UAE Civil Aviation Law.		
Non-compliance with the GCAA Civil Aviation Regulations (CAR Part VI).	*Inclusion into the Watch-List	*6 Months
Non-adherence to GCAA Audit/Inspection findings and the given timeframe for rectification and compliance.		
Misuse the GCAA dangerous goods certification.		

^{*}At the Discretion of the General Civil Aviation Authority





Intentionally Left Blank





APPENDICES





APPENDIX - 1

Dangerous Goods Occurrence Report

(On-Ground or in-flight)
See the Notes on the next page of this form. Those boxes where the heading is in italics need only be completed if applicable.

ark type of occurrence: Accident	□ Incident		Other Occurrence	
1. Operator:	2. Date of occurre	nce:	3. Local time of occurrence:	
4. Flight date: 5. Flight no.:				
6. Departure airport: 7. Destination airport:				
8. Aircraft type:	9. Aircraft registra	9. Aircraft registration:		
10. Location of occurrence:	11. Origin of the g	11. Origin of the goods:		
12. Description of the occurrence next page)	, including details of ir	jury, damage	etc. (if necessary continue on the	
13. Proper shipping name (includ	ing the technical name	e):	14. UN/ID no. (when known):	
15. Class/division (when known):	16. Subsidiary hazard(s):	17. Pack group	ing 18. Category, (class 7 only)	
19. Type of packaging:	20. Packaging specification marking:	21. No. packages:	of 22. Quantity (or transport index, if applicable):	
23. Reference no. of Air Waybill:		ı	'	
24. Reference no. of courier pour	ch, baggage tag, or pa	ssenger ticket		
25. Name and address of shipper26. Other relevant information (in			taken):	
27. Name and title of person mak	ing report:	28. Telephone	e no.:	
29. Company/dept. code, E-mail or Info Mail code:		30. Reporter ref.:		
31. Address:		32. Date/Signature:		
angerous Goods Occurrence Re				





Note:

- 1. Any type of dangerous goods occurrence must be reported, irrespective of whether the dangerous goods are contained in cargo, mail or baggage.
- 2. A dangerous goods accident is an occurrence associated with and related to the transport of dangerous goods which results in fatal or serious injury to a person or major property damage. For this purpose, a serious injury is an injury which is sustained by a person in an accident and which: (a) requires hospitalization for more than 48 hours, commencing from the time the injury was received; (b) results in a fracture of any bones (except small fractures of fingers, toes, or nose); (c) involves lacerations which cause severe hemorrhage, nerve, muscle or tendon damage; (d) involves injury to any internal organ; (e) involves second or third degree burns; or any burns affecting more than 5% of the body surface; or (f) involves verified exposure to infectious substances or injurious radiation. A dangerous goods accident may also be an aircraft accident; in which case the normal procedure for dangerous goods accidents must be followed.
- 3. A dangerous goods incident is an occurrence, other than a dangerous goods accident, associated with and related to the transport of dangerous goods, not necessarily occurring on board an aircraft, which results in injury to a person, property damage, fire, breakage, spillage, leakage of fluid or radiation or other evidence that the integrity of the packaging has not been maintained. Any occurrence relating to the transport of dangerous goods which seriously jeopardizes the aircraft or its occupants is also deemed to constitute a dangerous goods incident.
- 4. This form may also be used to report any occasion when undeclared or misdeclared dangerous goods are discovered in cargo or when baggage contains dangerous goods which passengers are not permitted to take on board aircraft.
- 5. An initial report should be dispatched within 72 hours of the occurrence, unless exceptional circumstances prevent this. The initial report may be made by any means but a written report should be sent as soon as possible, even if all the information is not available.
- 6. Completed reports must be sent to the General Civil Aviation Authority at dangerousgoodsteam@gcaa.gov.ae
- 7. Copies of all relevant documents should be included with the report.
- 8. All dangerous goods documents relating to the occurrence must be retained for a minimum period of 36 months. The retention of the package(s) shall be at the discretion of GCAA.





APPENDIX-2 **UAE State Variations**

These Variations are as outlined in the current ICAO TI and IATA DGR and shall be adhered to.

AE/AEG-01:

Transportation of dangerous goods to, from or within the UAE must be subject to compliance with the provisions of these Regulations and the UAE Civil Aviation Regulations (CAR Part VI) – Transport of Dangerous Goods by Air which can be accessed at GCAA official website: www.gcaa.gov.ae

AE/AEG-02:

UAE originating dangerous goods shipments shall only be offered and accepted for air transport by a GCAA dangerous goods certified entity according to the GCAA Dangerous Goods Certification requirements as defined in the UAE Civil Aviation Regulations (CAR Part VI).

AE/AEG-03:

The request to carry dangerous goods under Special Provisions A1, A2, or other State exemptions or approvals by the competent authority shall be to

Email: dangerousgoods@gcaa.gov.ae and DangerousGoodsTeam@gcaa.gov.ae at least seven working days before planned flight and addressed to;

GCAA Dangerous Goods Section Aviation Security Affairs Sector PO Box 6558

Abu Dhabi

United Arab Emirates

AE/AEG-04:

The process to return or dispose undelivered dangerous goods destined to UAE must be conducted by the air operator by which the shipment has arrived within seven working days from the date the shipment arrived in the UAE.

The process to return or dispose dangerous goods transshipments and transit shipments via the UAE must be conducted by the air operator by which the shipment has arrived within seven working days from the date the shipment arrived in the UAE.

AE/AEG-05:

On shipments to, from, within or transiting through the United Arab Emirates (UAE), emergency response information, as described below, must be provided for all dangerous goods for which a Transport Document is required.

The Transport Document (Shipper's Declaration for Dangerous Goods) required by these Regulations must include a 24-hour emergency response telephone number, which must include the international code and area code for use in the event of an incident or accident involving dangerous good(s). The number must be monitored by an individual who can be contacted in case of an emergency and who:

- 1. is able to converse in English;
- 2. is knowledgeable of the hazards and characteristics of the dangerous good(s) being transported;
- 3. has comprehensive emergency response and accident mitigation information for the dangerous good(s); or has immediate access to a person who possesses such knowledge and information.





On lithium battery shipments under Section II of Packing Instruction 966, 967, 969 and 970 to, from, within or transiting through the United Arab Emirates (UAE), emergency response information, as described above, must be shown in the handling information column of the Master Air Waybill

(see 8.1.6.11, 9.5.1 and 10.8.3.11).

★ AE/AEG-06:

Import, export and transit permit(s) must be obtained from the Radiation Safety Department of the UAE Federal Authority for Nuclear Regulation (FANR) before forwarding radioactive material to, from and via the UAE. For further details, please visit: www.fanr.gov.ae

Federal Authority for Nuclear Regulation (FANR)

PO Box 112021

Abu Dhabi

United Arab Emirates Tel: +971 2 651 6644 Fax: +971 2 651 6661

E-mail: FANR.Licensing@fanr.gov.ae

Website: www.fanr.gov.ae

AE/AEG-07:

Foreign air operators may carry dangerous goods to, from and via UAE provided the air operator is approved to carry dangerous goods by the State Civil Aviation Authority of the State of Registry or the State of the operator or the State of Origin.

AE/AEG-08:

For shipments of arms, ammunitions, explosives and all other Class 1 dangerous goods into the UAE, the Consignee must apply to the Ministry of Interior to obtain import or transit approval 15 working days before the scheduled arrival date of the shipment.

For shipments of arms and ammunition from the UAE, the Shipper must apply to the Ministry of Defense to obtain export approval 15 working days before the scheduled departure date of the shipment.

The air operator must obtain prior permission from the Ministry of Interior minimum 48 hours before forwarding UAE destined and transshipment of arms, ammunitions, explosives and all other Class 1 dangerous goods.

The air operator shall not export arms and ammunition except with prior permission obtained from the UAE Ministry of Defense, before forwarding the shipment.

AE/AEG-09:

At their discretion, States' Civil Aviation Authorities and Foreign Air Operators may communicate dangerous goods occurrences that need to be reported and investigated by the UAE General Civil Aviation Authority (GCAA) through the UAE GCAA online Reporting of Dangerous Goods Occurrences (RODGO) system. States' Civil Aviation Authorities and Foreign Air Operators are encouraged to send their request to obtain their access to the RODGO system to:

E-mail: RODGO.Investigation@gcaa.gov.ae and dangerousgoodsteam@gcaa.gov.ae, and communicate any dangerous goods occurrences related issues to the UAE General Civil Aviation Authority.





$\label{eq:APPENDIX-3} \mbox{(GCAA Tables - CBTA Approach vs Previously Known Categories)}$

The Function as Defined in GCAA CAR Part VI, Rev 1.4	Function In Table (FIT)	Previously Known (IATA DGR, Table1.5.A)
Personnel Delivering Dangerous Goods Training for the Function in Tables 4.1.A, 4.1.B, 4.1.C, 4.1.D, 4.1.E, 4.1.G and 4.1.I in the UAE .	4.1.A	6
Personnel preparing dangerous goods consignments for transport (Personnel classifying dangerous goods for transport is provided simultaneously) - "Shippers & Packers" including operator's staff acting as shippers, operator's staff preparing dangerous goods as Company Materials (COMAT) and staff of freight forwarders acting on behalf of the Shipper.	4.1.B	1, 2 & 3
Personnel responsible for processing or accepting goods presented as general cargo or processing mail other than dangerous goods.	4.1.C	4 & 7
Personnel responsible for processing or accepting dangerous goods consignments or accepting mail containing dangerous goods or personnel nominated by their employer to meeting the GCAA Dangerous Goods Certification requirements or personnel performing the functions of Dangerous Goods Post Holder for Air Operators including "no-carry" Air Operators, Ground Handling Agents, Helicopter Operators, Designated Postal Operators, Freight Forwarders and Packaging Suppliers.	4.1.D	6
Personnel responsible for handling and storage of cargo or mail in a warehouse, loading and unloading ULD and loading and unloading aircraft cargo compartments or personnel performing the functions of quality control/compliance monitoring activities or personnel performing the functions of Dangerous Goods Post Holder for Air Operators including "no-carry" Air Operators, Ground Handling Agents, Helicopter Operators, Designated Postal Operators, Freight Forwarders, Packaging Suppliers and Personnel Delivering Dangerous Goods Training for the Function in Tables 4.1.F, 4.1.H, 4.1.J and 4.1.K in the UAE.	4.1.E	5 & 8
Personnel responsible for accepting passenger and crew baggage, managing aircraft boarding areas and other functions involving direct passenger contact at an airport.	4.1.F	9
Personnel responsible for the planning of aircraft loading. (LC/Ground Dispatcher/LM).	4.1.G	10
Flight crew	4.1.H	10
Personnel responsible for flight operations and flight dispatchers	4.1.I	10
Cabin crew	4.1.J	11
Personnel responsible for security screening for passengers, crew, baggage, cargo and mail.	4.1.K	12





Intentionally Left Blank